



## **CLOUD TOP PARAMETERS**

# VISIBLE/INFRARED IMAGER/RADIOMETER SUITE ALGORITHM THEORETICAL BASIS DOCUMENT

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Glenn Higgins Adrian George

RAYTHEON SYSTEMS COMPANY Information Technology and Scientific Services 4400 Forbes Boulevard Lanham, MD 20706

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	FUNCTION	NAME	SIGNATURE	DATE
Prepared By	EDR Developer	G. HIGGINS A. GEORGE		
Approved By	Relevant IPT Lead	G. HIGGINS		
Approved By	Chief Scientist	P. ARDANUY		
Released By	Program Manager	H. BLOOM		

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#### **GLOSSARY OF ACRONYMNS**

ATBD Algorithm Theoretical Basis Document

AVHRR Advanced Very High Resolution Radiometer

CLW Cloud Liquid Water

CMIS Conical Scanning Microwave Imager/Sounder

CrIS Cross-track Infrared Sounder
CSSM Cloud Scene Simulation Model

DISORT Discrete Ordinate Radiative Transfer

EDR Environmental Data Record IPT Integrated Product Team

IR Infrared

IWC Ice Water Content LOS Line-of-Sight

MAS MODIS Airborne Simulator

MODIS Moderate Resolution Imaging Spectroradiometer

MODTRAN Moderate Resolution Atmospheric Radiance and Transmittance Model

NPOESS National Polar-orbiting Operational Environmental Satellite System

RSBR Raytheon Santa Barbara Research

RSS Root Sum Square

SNR Sensor Signal-to-Noise Ratio

SRD Sensor Requirements Document

TASC TASC, a division of Litton

TOA Top of the Atmosphere

VIIRS Visible/Infrared Imager/Radiometer Suite

#### **DEFINITION OF SYMBOLS**

z<sub>cb</sub> (km - kilometers) Cloud Base Height

r<sub>e</sub> (μm or um (in figures) – micrometers) Cloud Mean Effective Particle Radius

D<sub>a</sub> (μm - micrometers) Cloud Mean Effective Particle Size (diameter)

τ (unitless) Cloud Optical Depth

z (km - kilometers) Height

 $z_{cb}$  (km - kilometers) Cloud Base Height  $z_{ct}$  (km - kilometers) Cloud Top Height

p (mb - millibars) Pressure

p<sub>et</sub> (mb - millibars) Cloud Top Pressure

 $T_{cb}(K - degrees \ Kelvin)$  Cloud Base Temperature  $T_{ct}(K - degrees \ Kelvin)$  Cloud Top Temperature

 $\mu_{\scriptscriptstyle 0}$  Cosine of Solar Zenith Angle

μ Cosine of Sensor Zenith Angle

I Radiance

Δφ (angular degrees) Sun-Sensor Relative Azimuth Angle

 $\theta_0$  (angular degrees) Solar Zenith Angle

 $\lambda$  Wavelength



#### **ABSTRACT**

The Visible/Infrared Imager/Radiometer Suite (VIIRS) Cloud Top Parameters Algorithm estimates cloud top temperature, pressure, and height using VIIRS radiances, other VIIRS Cloud Environmental Data Records (EDR), derived quantities (e.g., cloud mask and phase), and scenario parameters (sun/sensor geometry, atmospheric scenario, etc.). Three retrieval algorithms are used to determine cloud top parameters: The Window Infrared (IR) Algorithm is used during daytime to compute cloud top height using radiance measurements in the 10.8 μm band and radiative transfer analysis with the scenario parameters. It may be applicable at night as well for optically thick water clouds (which is typically the case). The algorithm returns cloud top height (z<sub>ct</sub>) and an Interpolation Algorithm uses z<sub>ct</sub> together with sounding data to determine cloud top temperature (T<sub>ct</sub>) and cloud top pressure (p<sub>ct</sub>). The UCLA IR Cirrus Parameter Retrieval Algorithm (see also Ou *et al.*, 2000) computes T<sub>ct</sub> as well as cloud effective particle size and optical depth for cirrus clouds both day and night. The UCLA IR Water Cloud Parameter Retrieval Algorithm (see also Ou *et al.*, 2000) computes T<sub>ct</sub> as well as cloud effective particle size and optical depth for cirrus clouds both day and night. The Interpolation Algorithm uses T<sub>ct</sub> as determined by the UCLA algorithm, together with sounding data, to determine z<sub>ct</sub> and p<sub>ct</sub>.

The UCLA IR Cirrus and Water Cloud Parameter Retrieval Algorithms are described in detail in Ou *et al.* (2000). The TASC Window IR Algorithm and the Cloud Top Parameter Interpolation Algorithm are described in detail in this report. Comments are also made concerning potential alternative or complementary algorithms to retrieve cloud top parameters. In addition, this report provides a description of data flow, the retrieval algorithms and their physical basis, flowdown and sensitivity studies, and algorithm implementation considerations. Measurement requirements for cloud top parameters are specified in the VIIRS Sensor Requirements Document (SRD) and are repeated in this report.



#### 1.0 INTRODUCTION

#### 1.1 PURPOSE

This document describes algorithms that will be used to retrieve cloud top parameters using data from the prospective Visible/Infrared Imager/Radiometer Suite (VIIRS) instrument. A description is provided of data flow, the retrieval algorithms and their physical basis, flowdown and sensitivity studies, and implementation considerations. Measurement requirements for cloud top parameters, including cloud top temperature, pressure, and height, are identified in the VIIRS Sensor Requirements Document (SRD).

#### 1.2 SCOPE

This document focuses on the theoretical basis for retrieval of cloud top temperature, pressure, and height using VIIRS data. Because multiple algorithms are used for cloud parameter retrieval (i.e., retrieval of cloud optical depth, effective particle size, base height, and amount/layers) and because these parameters are related to the cloud top parameters, frequent reference is made to the other cloud parameter Algorithm Theoretical Basis Documents (ATBDs). In addition, some algorithms estimate multiple parameters, including cloud top temperature. The details of these algorithms are not repeated here; instead, the appropriate ATBD is referenced.

The document is organized into five major sections. The first section is an introduction. The next section provides an overview of the retrieval algorithms, including objectives, instrument characteristics, and retrieval strategy. Section 3 describes the retrieval algorithms and their physical basis, data requirements and issues, algorithm sensitivities to input and flowdown, practical considerations, validation, and a development schedule. Section 4 provides a brief description of major algorithm assumptions and limitations, and Section 5 is a list of references cited.

A summary of cloud top parameter Flowdown results is attached to this ATBD as Appendix A.

#### 1.3 VIIRS DOCUMENTS

Visible/Infrared Imager/Radiometer Suite (VIIRS) Sensor Requirements Document (SRD) for National Polar-orbiting Operational Environmental Satellite System (NPOESS) Spacecraft and Sensors. Associate Directorate for Acquisition NPOESS Integrated Program Office. Version 2, Revision a, 4 November 1999. F04701-97-C-0028.

#### 1.4 REVISION

This is the thrid revision of this document, dated May 2000.

#### 2.0 EXPERIMENT OVERVIEW

This section contains three major subsections. Subsection 2.1 describes the objectives of the cloud top parameter retrievals. Subsection 2.2 describes the characteristics of the VIIRS instrument. Subsection 2.3 addresses the cloud top parameter retrieval strategy.

#### 2.1 OBJECTIVES OF CLOUD TOP PARAMETER RETRIEVALS

The cloud top parameter retrieval algorithms, together with the prospective VIIRS sensor, will be developed to meet SRD requirements for cloud top temperature, pressure, and height. For reference, these requirements are provided in Sections 2.1.1 through 2.1.3. Under the VIIRS sensor/algorithm development concept, these requirements are "flowed down" to the design of the most cost-effective sensor/algorithm solution that meets the SRD requirements. This is accomplished through a series of flowdown tests and error budget analyses, which effectively simulate sensor and algorithm performance over a range of environmental and operational scenarios. The results of the flowdown tests are provided in Appendix A. The error budgets are briefly described in Section 3.3 and described in much more detail in the Raytheon VIIRS Error Budget, Version 3 (Y3249).

#### 2.1.1 Cloud Top Height

The SRD provides the following definition for Cloud Top Height:

"Cloud top height is defined for each cloud-covered Earth location as the set of heights of the tops of the cloud layers overlying the location. The reported heights are horizontal spatial averages over a cell, i.e., a square region of the Earth's surface. If a cloud layer does not extend over an entire cell, the spatial average is limited to the portion of the cell that is covered by the layer. Cloud Top height is not defined or reported for cells that are clear. As a threshold, only the height at the top of the highest altitude cloud layer is required. The objective is to report the cloud top height for all distinct cloud layers. This EDR must be generated as a dual product at two spatial scales, one meeting the moderate HCS requirements and the other meeting the fine HCS requirements. The moderate HCS product is the operational requirement, and the fine HCS product is for augmented applications only."

Table 1 summarizes the SRD requirements for this parameter.



Para. No. **Thresholds Objectives** a. Horizontal Cell Size V40.4.7-12 25 km 10 km 1. Moderate, worst case V40.4.7-13 2. Fine, at nadir 5 km (TBR) (TBD) (TBD) V40.4.7-2 b. Horizontal Reporting Interval (TBD) V40.4.7-3 c. Horizontal Coverage Global Global d. Vertical Cell Size N/A N/A V40.4.7-4 e. Vertical Reporting Interval Top of highest Top of all cloud layer distinct cloud layers V40.4.7-5 (TBD) f. Measurement Range 0 - 20 km g. Measurement Accuracy (moderate HCS product) V40.4.7-6 1. Cloud layer optical thickness > 0.1(TBR) 1.0 km (TBR 0.3 km V40.4.7-7 2. Cloud layer optical thickness  $\leq$  0.1(TBR) 2 km 0.3 kmh. Measurement Precision (moderate HCS V40.4.7-8 0.3 km 0.15 km product) V40.4.7-14 n. Measurement Uncertainty (fine HCS product) V40.4.7-15 0.5 km (TBR)\* 1. Water clouds V40.4.7-16 1 km (TBR)\* 2. Ice clouds 0.2 km V40.4.7-9 i. Long-term Stability 0.1 km V40.4.7-10 **Mapping Uncertainty** 4 km 1 km k. Maximum Local Average Revisit Time 8 hrs 6 hrs I. Maximum Local Refresh (TBD) (TBD) V40.4.7-11 m. Minimum Swath Width (All other EDR 3000 km (TBR) (TBD) thresholds met)

Table 1. SRD Requirements for Cloud Top Height

#### 2.1.2 Cloud Top Pressure

The SRD provides the following definition for Cloud Top Pressure:

"Cloud top pressure is defined for each cloud-covered Earth location as the set of atmospheric pressures at the tops of the cloud layers overlying the location. The reported pressures are horizontal spatial averages over a cell, i.e., a square region of the Earth's surface. If a cloud layer does not extend over an entire cell, the spatial average is limited to the portion of the cell that is covered by the layer. Cloud Top pressure is not defined or reported for cells that are clear. As a threshold, only the pressure at the top of the highest altitude cloud layer is required. The objective is to report the cloud top pressure for all distinct cloud layers. This EDR must be generated as a dual product at two spatial scales, one meeting the moderate HCS requirements and the other meeting the fine HCS requirements. The moderate HCS product is the operational requirement, and the fine HCS product is for augmented applications only."

<sup>\*</sup>Applies at nadir for fine resolution product.

Table 2 summarizes the SRD requirements for this parameter.

**Table 2. SRD Requirements for Cloud Top** 

Para. No.		Thresholds	Objectives
	a. Horizontal Cell Size		
V40.4.8-16	Moderate, worst case	15 km	10 km
V40.4.8-17	2. Fine, at nadir	5 km (TBR)	(TBD)
V40.4.8-2	b. Horizontal Reporting Interval	(TBD)	(TBD)
V40.4.8-3	c. Horizontal Coverage	Global	Global
V40.4.8-4	d. Measurement Range	50 – 1050 mb	(TBD)
	e. Measurement Accuracy (moderate HCS		
	product)		
V40.4.8-5	1. Surface - 3 km	100 mb	30 mb
V40.4.8-6	2. 3 - 7 km	75 mb	22 mb
V40.4.8-7	3. > 7 km	50 mb	15 mb
	f. Measurement Precision (moderate HCS		
	product)		
V40.4.8-8	1. Surface - 3 km	50 mb	10 mb
V40.4.8-9	2. 3 - 7 km	38 mb	7 mb
V40.4.8-10	3. > 7 km	25 mb	5 mb
V40.4.8-18	I. Measurement Uncertainty (fine HCS product)	50 mb (TBR)*	(TBD)
	(for any altitude or pressure)		
	g. Long-term Stability		
V40.4.8-11	1. Surface - 3 km	10 mb (TBR)	3 mb
V40.4.8-12	2. 3 - 7 km	7 mb (TBR)	2 mb
V40.4.8-13	3. > 7 km	5 mb (TBR)	1 mb
V40.4.8-14	h. Mapping Uncertainty	4 km	1 km
	i. Maximum Local Average Revisit Time	8 hrs	3 hrs
	j. Maximum Local Refresh	(TBD)	(TBD)
V40.4.8-15	k. Minimum Swath Width (All other EDR	3000 km (TBR)	(TBD)
	thresholds met)		

<sup>\*</sup>Applies at nadir for fine resolution product.

#### 2.1.3 Cloud Top Temperature

The SRD provides the following definition for Cloud Top Temperature:

"Cloud Top temperature is defined for each cloud-covered Earth location as the set of atmospheric temperatures at the tops of the cloud layers overlying the location. The reported temperatures are horizontal spatial averages over a cell, i.e., a square region of the Earth's surface. If a cloud layer does not extend over an entire cell, the spatial average is limited to the portion of the cell that is covered by the layer. Cloud Top temperature is not defined or reported for cells that are clear. As a threshold, only the temperature at the top of the highest altitude cloud layer is required. The objective is to



report the cloud top temperature for all distinct cloud layers. This EDR must be generated as a dual product at two spatial scales, one meeting the moderate HCS requirements and the other meeting the fine HCS requirements. The moderate HCS product is the operational requirement, and the fine HCS product is for augmented applications only."

Table 3 summarizes the SRD requirements for this parameter.

Para, No. Thresholds Objectives a. Horizontal Cell Size V40.4.9-13 1. Moderate, worst case 25 km 10 km (TBD) V40.4.9-14 2. Fine, at nadir 5 km (TBR) V40.4.9-2 b. Horizontal Reporting Interval (TBD) (TBD) V40.4.9-3 c. Horizontal Coverage Global Global V40.4.9-4 d. Measurement Range 180 - 310 K (TBD) e. Measurement Accuracy (moderate HCS product) V40.4.9-5 1. Cloud layer optical thickness > 0.1 (TBR) 3 K 1.5 K V40.4.9-6 6 K (TBD) 2. Cloud layer optical thickness  $\leq$  0.1 (TBR) f. Measurement Precision (moderate HCS product) 1.5 K  $0.5 \, \text{K}$ V40.4.9-7 V40.4.9-15 I. Measurement Uncertainty (fine HCS product) (for 5 K (TBR)\* 2K any cloud layer optical thickness) V40.4.9-8 g. Long-term Stability 1 K 0.1 K V40.4.9-9 h. Mapping Uncertainty 4 km 1 km i. Maximum Local Average Revisit Time 6 hrs 6 hrs Maximum Local Refresh (TBD) (TBD) k. Minimum Swath Width (All other EDR thresholds V40.4.9-12 3000 km (TBD) (TBR) met)

**Table 3. SRD Requirements for Cloud Top Temperature** 

#### 2.2 INSTRUMENT CHARACTERISTICS

Table 4 provides the wavebands currently being considered for VIIRS cloud top parameter retrieval. As described in more detail below, bands centered at 0.672, 3.70, and 10.76  $\mu$ m are used for IR cirrus and water cloud parameter retrievals, and the band centered at 10.76  $\mu$ m is used for the Window IR retrieval of cloud top parameters for water droplet clouds. Note that there have been minor changes to band centers during the course of the project; the changes were driven by other EDRs not clouds. At the time of the previous version of this report, the comparable band centers were 0.645, 3.750, and 10.800  $\mu$ m. Many of the results in this report were produced using those band centers. We do not expect performance variation for the cloud parameters due to these changes.

The VIIRS sensor will be on board a polar-orbiting platform with nominal spacecraft altitude of 833 km. The scanning pattern will be normal to the satellite ground track with a maximum sensor-viewing angle of approximately 56 degrees off nadir, relative to the spacecraft position. The nominal VIIRS nadir pixel size for each channel is approximately 760m.

<sup>\*</sup>Applies at nadir for fine resolution product.

Table 4. Bands Used for Cloud Top Parameter Retrievals. An "x" denotes cloud algorithms that use the band. For algorithms other than cloud top parameters, the band list is not necessarily complete.

VIIRS Band Number	Center λ (μm)	Band Width (µm)	Cloud Top Parameters	Cloud Effective Particle Size*	Cloud Optical Depth*	VIIRS Cloud Mask
Oc2	0.672	0.02	Х	Х	Х	Х
10r	3.700	0.18	Х	Х	Х	Х
11	10.763	1.00	Х	Х	Х	Х

#### 2.3 RETRIEVAL STRATEGY

The cloud top parameter retrieval algorithms will use VIIRS radiance data, other VIIRS cloud cloud data (e.g., pixel-level cloud optical depth, effective particle size, and satellite geometry), cloud SDRs (VIIRS cloud mask), and ancillary data products. The VIIRS cloud mask will identify whether each VIIRS pixel is clear or cloud-contaminated. The mask will also provide additional information, such as cloud phase (ice, water, mixed, or multilayer). The cloud phase information will be used to determine which of three algorithms will be used to retrieve cloud top parameters: the UCLA IR cirrus parameter retrieval algorithm for ice clouds, the UCLA IR water cloud parameter retrieval algorithm for water clouds during night, or the TASC, a division of Litton (TASC), Window Infrared (IR) algorithm for water droplet clouds during daytime or night. These algorithms are described in more detail in Section 3. The UCLA IR retrieval algorithms retrieve cloud top temperature, effective particle size, and optical depth for cirrus and water clouds. The TASC Window IR algorithm provides cloud top height retrieval for water droplet clouds. Given cloud top temperature or cloud top height, the remaining two cloud top parameters are obtained through the use of an appropriate atmospheric sounding and an interpolation algorithm.



#### 3.0 ALGORITHM DESCRIPTION

This section contains six major subsections addressing the cloud top parameter processing flow: algorithm input and output, the algorithm theoretical basis and mathematical description; algorithm sensitivity to inputs and analyses; practical implementation considerations, validation; and algorithm development schedule.

#### 3.1 PROCESSING OUTLINE

#### 3.1.1 General Approach

A high-level flow diagram of the general approach to determining cloud top parameters appears in Figure 1. Input parameters required by the algorithms include pixel-level data from other VIIRS cloud algorithms (optical depth and effective particle size), VIIRS SDR (e.g., cloud mask and phase), VIIRS radiances, and scenario parameters (e.g., sun/sensor geometry and atmospheric scenario). The cloud phase along with day/night flag are used to determine whether retrieval will be made by the Window IR algorithm (water clouds during daytime), the UCLA IR Water Cloud Retrieval Algorithm (water clouds during night) or the UCLA IR Cirrus Parameter Retrieval Algorithm (ice clouds day or night). The Window IR Algorithm returns cloud top height ( $z_{ct}$ ) and a Cloud Top Parameter Interpolation Algorithm uses  $z_{ct}$  together with sounding data to determine cloud top temperature ( $z_{ct}$ ) and cloud top pressure ( $z_{ct}$ ). The UCLA IR Cirrus and Water Cloud Parameter Retrieval Algorithms return  $z_{ct}$  and the Cloud Top Parameter Interpolation Algorithm uses  $z_{ct}$  together with sounding data, to determine  $z_{ct}$  and  $z_{ct}$  Brief descriptions of the UCLA IR Cirrus and Water Cloud Parameter Retrieval Algorithms, the TASC Window IR Algorithm, and the Interpolation Algorithm follow.

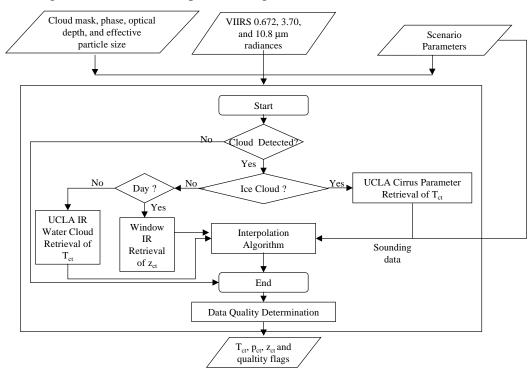


Figure 1. High-level data flow for cloud top parameter retrieval.



#### 3.1.2 UCLA Algorithm for Retrieval of IR Cirrus and Water Cloud Top Temperature

The UCLA IR Cirrus Parameter Retrieval Algorithm calculates cloud top temperature using a 2-band (nighttime) or 3-band (daytime) retrieval algorithm. The algorithm also retrieves cirrus cloud effective particle size and emissivity/optical depth. The cloud top height and pressure are obtained by interpolation using an atmospheric sounding and the Cloud Top Parameter Interpolation Algorithm. The IR Water Cloud Parameter Retrieval Algorithm is similar; thus far, it has been applied to nighttime conditions only.

#### 3.1.3 Window IR Algorithm for Retrieval of Water Droplet Cloud Top Height

The retrieval of cloud top parameters for water clouds is based on the Window IR technique using 10.8 µm radiances. The approach is depicted in Figure 2. To retrieve the cloud top parameters this algorithm requires as input, a measured 10.8 µm radiance value, the VIIRS Cloud Effective Particle Size Environmental Data Record, the VIIRS Cloud Optical Depth EDR, and an atmospheric temperature and moisture profile. Interpolation on a radiance look-up table retrieves the cloud top altitude. The pressure and temperature EDRs are then obtained by interpolation using sounding data. Initially, flowdown results were generated by running the Moderate Resolution Atmospheric Radiance and Transmittance Model (MODTRAN) over a range of cloud altitudes, effective particle sizes, and optical depths and scenario conditions. We then transitioned to the UCLA Radiative Transfer Model (see Ou *et al.*, 2000). All Error Budget results were produced using the UCLA Radiative Transfer Model.

The sensitivity of cloud top parameter retrievals (e.g., CTT) to EPS and COT generally favors the use of algorithms which can compute all three parameters simulataneously (such as the UCLA IR retrieval algorithms) or can use EPS and COT obtained from other algorithms to augment the cloud top parameter retrieval (such as the Window IR algorithm). It should be noted, however, that the Window IR algorithm can provide accurate results even with uncertain knowledge of COT and EPS, when the "effective" optical depth is large. We define effective optical depth as  $\tau$ (nadir view)/ sin(sensor elevation angle[90= overhead, 0 = horizon]). For this reason, the Window IR algorithm is probably appropriate for situations when EPS and COT are not well-known, but when the effective optical depth is large. This generalizes the use of the Window IR to other scenarios, including thick cirrus clouds. For example, when the pixel-level effective blackbody temperature of a cloud is less than about 240K, the cloud is almost certainly a thick ice cloud. In this case, the single-band Window IR algorithm, rather than the two-band UCLA IR algorithm, may be used to determine CTT. This approach may be preferable, in fact, since the calibration error associated with the 3.7 µm band, which use the two-band approach, increases substantially with decreasing BBT. As the calibration error increases, the retrieval error increases. On the other hand, the calibration error associated with the 10.8 µm band is relatively smaller at cold temperatures. Finally, the use of the Window IR algorithm may also improve results at EOS for water clouds during night and ice clouds during both day and night. Even though EPS and COT are less well-known during night, the effective optical depth can be large enough at EOS to make this uncertainty tolerable in most situations.

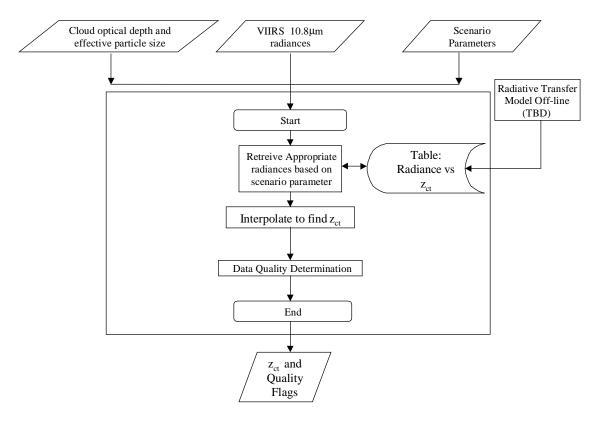


Figure 2. Window IR cloud top height retrieval algorithm.

#### 3.1.4 Cloud Top Parameter Interpolation Algorithm

Given one of the three cloud top parameters (cloud top temperature, height, or pressure) the other two parameters are determined by interpolation using atmospheric sounding data. The hydrostatic approximation is used to interpolate between pressure levels in the sounding; cloud top temperature and height are obtained via linear interpolation between sounding levels. It is assumed that sounding data are always available, either from archived numerical analyses forecasts, CrIS or the Conical Scanning Microwave Imager/Sounder (CMIS).

#### 3.1.5 Alternative and Complementary Algorithms

We did examine the possibility of using the CO<sub>2</sub> channels available from the CrIS to provide coarser resolution (than VIIRS) cirrus cloud top pressure and emissivity information that could complement the UCLA cirrus parameter retrievals. We determined that the UCLA approach, which retrieves cloud optical depth, effective particle size, and cloud top temperature, was capable of meeting SRD requirements.

#### 3.2 ALGORITHM INPUT

Table 5 provides VIIRS and non-VIIRS input data required by the Cloud Top Parameter Algorithms.

#### 3.3 THEORETICAL DESCRIPTION OF THE CLOUD TOP PARAMETER RETRIEVAL ALGORITHMS

#### 3.3.1 Physics of the Problem

The physics of the UCLA IR Cirrus and Water Cloud Cloud Parameter Retrieval algorithms, which determine effective particle size, optical depth, and cloud top temperature, is described in Ou *et al.* (2000). The discussion here will focus on the Window IR Algorithm, on the determination of cloud top height and pressure given cloud top temperature, and on cloud top pressure and temperature, given cloud top height.

The physical basis of the Window IR Algorithm relies on the following characteristics of the Earth-atmosphere system:

- The radiation reaching the top of the atmosphere in the 10.3 to 11.3 µm region is due primarily to thermal emission by the ground, the atmosphere, and the clouds.
- Optically thick clouds, such as most water droplet clouds, are nearly blackbodies in the longwave IR portion of the electromagnetic spectrum. Most surface materials have emissivities close to one and are nearly blackbodies as well.
- The atmosphere is nearly transparent in the 10.3 to 11.3 μm region, although some attenuation does occur. Atmospheric attenuation is primarily due to absorption by H<sub>2</sub>O, CO<sub>2</sub>, and aerosols.
- To a reasonable approximation, atmospheric pressure decreases exponentially with height following the hydrostatic equation. Atmospheric temperature decreases monotonically with height in most cases (exceptions being atmospheric inversions in the troposphere and at the tropopause).

Table 5. Description of the Input Data Required by the Cloud Top Parameter Retrieval Algorithms

Data Type	Description	Use	Potential Source
VIIRS Radiances	0.670, 3.70, and 10.8 m radiances	Used to retrieve cloud top properties	VIIRS
Sensor Viewing Geometry	Sensor zenith and azimuth angles for each pixel	Input to radiative transfer model look-up table	Calibrated Radiances SRD
Solar Geometry	Solar zenith and azimuth angles for each pixel	Input to radiative transfer model look-up table and algorithm decision tree	Calibrated Radiances SRD
Cloud Mask	Cloud/no cloud for each pixel	Performed only on cloud- contaminated pixels	VIIRS Cloud Mask algorithm
Cloud Phase	Ice or water cloud flag	Determines which cloud top parameter retrieval algorithm is used	VIIRS Cloud Mask algorithm
Cloud Optical Thickness	Pixel-level optical thickness of water cloud.	Input to radiative transfer model look-up table for Window IR algorithm	VIIRS Cloud Optical Depth algorithm
Cloud Effective Particle Size	Pixel-level effective particle size of water cloud	Input to radiative transfer model look-up table for Window IR algorithm	VIIRS Cloud Effective Particle Size algorithm

Data Type	Description	Use	Potential Source
Atmospheric Sounding	Atmospheric Temperature and relative humidity as functions of pressure and height	Input to radiative transfer model look-up table and used to infer any two of cloud top height, pressure, and temperature given one of these parameters	NCEP re-analysis and forecast, CrIS sounding data, CMIS sounding data
Surface Emissivity	In-band emissivity of Earth's surface	Input to radiative transfer model look-up table	Emissivity from Spectral Library associated with terrain category database values, e.g., from VIIRS Surface Types-Olson IP
Surface Skin Temperature	Skin temperature associated with pixel region	Input to radiative transfer model look-up table	NCEP re-analysis and forecast, VIIRS Land Surface Temperature EDR and Sea Surface Temperature EDR

As a result of these characteristics of the Earth-atmosphere system in the 10.3 to 11.3 µm region, the radiation reaching the top of the atmosphere (TOA) is strongly affected by cloud layers, when they are present, permitting retrieval of cloud top parameters. Optically thick water droplet clouds are nearly blackbodies, and most of the upwelling radiation at cloud top is from the cloud itself. Little radiation from below the cloud layer reaches the cloud top because it is absorbed by the cloud layer. When optically thick cloud layers are not present, most of the upwelling radiance at the TOA is from the ground. Both the clouds and the ground contribute to the TOA radiance when the clouds are not optically thick; the relative contribution depends on the cloud thickness and effective particle size. The relationship between atmospheric pressure, temperature, and height permits determining cloud top pressure and height, once the cloud top temperature is known, or cloud top pressure and temperature, once cloud top height is known and assuming that an atmospheric temperature profile is available.

In describing IR radiative transfer mathematically, we use the plane parallel atmospheric approximation as depicted in Figure 3 for a single layer cloud. In the plane parallel atmospheric approximation, it is assumed that variations in atmospheric parameters occur only in the vertical direction. Following Liou (1992), the equation defining monochromatic thermal upwelling intensity at TOA in a plane parallel atmosphere is:

$$I_{\lambda}(\tau^*) = \varepsilon_{\lambda} B_{\lambda}(T_{sfc}) t_{\lambda}(\tau^*/\mu) + \int_{0}^{\tau^*} B_{\lambda}(\tau') t_{\lambda}(\tau'/\mu) d\tau'/\mu$$
 (1)

where:

 $I_{\lambda}$  = monochromatic radiance

 $\tau$  = vertical optical depth

 $\tau^*$  = total vertical optical

 $\varepsilon_{\lambda}$  = surface emissivity



 $\lambda = monochromatic wavelength$ 

 $B_{\lambda}$  = Planck function

 $T_{sfc}$  = skin temperature at Earth's surface

 $t_{\lambda} = \text{monochromatic transmission} = e^{-(\tau/\mu)}$ 

 $\mu$  = cosine of sensor viewing angle

Figure 3 provides a notional characterization of the vertical optical depth profile. As depicted here and as frequently occurs in the atmosphere, the largest contribution to optical depth is from the cloud layer. Because the transmission is typically small in Layers 1 and 2 and because the surface emissivity is close to one in most cases, the primary contribution to the radiance at the top of the atmosphere is from the cloud layer and/or the ground. Note also that the Planck emission depends on temperature as well as wavelength, and so the temperature variation with height, including within the cloud, will affect the radiance intensity at the top of the atmosphere.

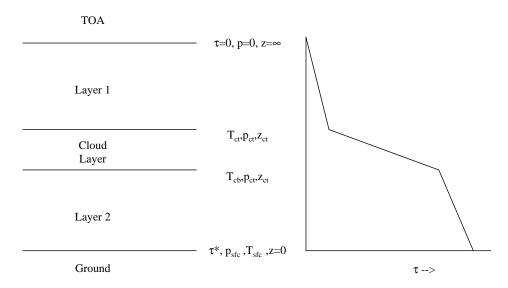


Figure 3. Atmospheric layer construction and notional characterization of the optical depth profile. Symbols are defined in the Definition of Symbols table at the beginning of the document.

The thermal emission model depicted in Equation 1 is generally a good approximation in the 10.8 µm region. However, there is some scattering in clouds, even at these wavelengths, and a small contribution to radiance can be expected with multiple scattering within the clouds. For this reason, we have included all effects (thermal emission and multiple scattering) in our simulations and our look-up table solution. We have specified the cloud optical properties, the extinction coefficient, the single scattering albedo, and the asymmetry parameter for water droplet clouds for effective particle sizes ranging from 2 to 32 µm and visible optical depth ranging from 0.05 to 30. These optical property data were obtained from parameterizations of Mie scattering and absorption results developed by Chylek *et al.* (1992). Initially, we used MODTRAN Version 3.7 in the multiple scattering mode using the Discrete Ordinate Radiative

Transfer (DISORT) 8-stream. We have since transitioned to the UCLA radiative transfer model (Ou *et al.*, 2000) for all of our work.

#### 3.3.2 Mathematical Description of the Algorithms

A discussion of the Window IR algorithm follows. For a detailed description of the UCLA IR cirrus and water cloud parameter retrieval algorithms, the other algorithms used to retrieve cloud top parameters, see Ou *et al.* (2000)

#### 3.3.2.1 Window IR Algorithm

To support flowdown studies, we have constructed lookup tables for specific environmental scenarios. These lookup tables contain radiance values as a function of cloud top altitude (for altitudes ranging from 1 km to several kilometers), cloud effective particle size ranging from 2 to 32  $\mu m$ , and cloud vertical optical thickness (visible) ranging from 0.05 to 30. We have assumed a cloud thickness of 1 km. Given pixel-level effective particle size and optical thickness from other cloud EDR algorithms, we determine cloud top height by linear interpolation in the radiance lookup tables, using an "observed" radiance value for which the cloud top height is unknown.

This setup has sufficed for flowdown studies of sensor parameters, but in routine operations the "environmental scenario" will vary from location to location and from day to day, and is defined from the ancillary data sources identified in Section 3.2. There are two approaches to obtaining the radiance lookup tables for the environmental scenario associated with the observed radiance measurements. The radiance lookup tables can either be pre-computed over a range of values of the ancillary data *or* they can be computed on the fly for the environmental scenario corresponding with the horizontal cell for which EDR values will be reported. Either approach requires trade studies and we have not determined which method will be used. If pre-computed lookup tables are used, they will need to cover the full operational range of the ancillary input data required by the algorithm. The resolution of the specification of the ancillary data will need to be determined. If the second approach is used, an efficient radiative transfer model will need to be identified and the required computational resources carefully studied.

The specified values of cloud optical depth and effective particle size affect the retrieval of cloud top temperature as described in Subsection 3.4. Because the cloud effective particle size and optical depth algorithms also rely on knowledge of cloud top temperature, it is likely that an iterative approach will be necessary. First-guess values of cloud effective particle size and optical depth will be used initially to retrieve cloud top parameters. The retrieved cloud top parameters will then be used by the cloud effective particle size and optical depth algorithms to retrieve those parameters. Next, the retrieved effective particle size and optical depth values will be used in the cloud top parameter algorithm. This process will again be repeated (probably only a few times) until solutions vary little between successive iterations.

In cases where multi-cloud layers occur, the lower cloud layers will also contribute to the TOA radiances. The effect will be less when the upper cloud is optically thick. Nevertheless, some degradation in performance can be expected in the presence of multilayer cloud systems. For example, the MODIS Cloud Top Properties and Cloud Phase Algorithm Theoretical Basis Document (Menzel and Strabala, 1997, page 27) states that "multilayer cloud situations where an



opaque cloud underlies a transmissive cloud cause errors in the height of the transmissive cloud of about 100 mb for most cases."

#### 3.3.2.2 Cloud Top Parameter Interpolation Algorithm

This subsection outlines the processes for determining (1) the cloud top temperature and pressure given height and (2) the cloud top pressure and height given temperature for the sounding scenario depicted in Figure 4, where:

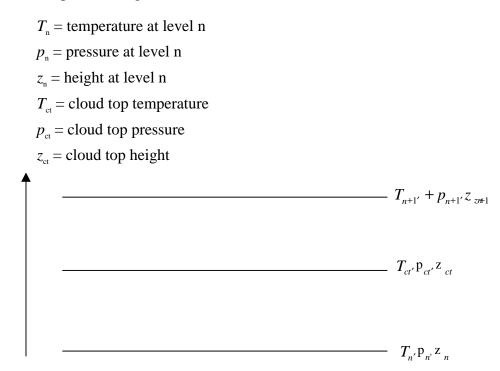


Figure 4. Notation used to define vertical structure.

In the case where  $z_{ct}$  is known, then  $T_{ct}$  is determined by linear interpolation between  $T_n$  and  $T_{n+1}$  and  $T_{ct}$  is determined via hydrostatic interpolation in the form

$$p_{ct} = p_n \bullet \exp\left(\frac{g(z_n - z_{ct})}{RT}\right)$$
 (2)

where  $g = .00981 \text{ km/s}^2$ ,  $R = \text{gas constant for dry air, and } \overline{T} = (T_n + T_{cl})/2$ .

In the case where  $T_{ct}$  is known, then  $z_{ct}$  is determined by linear interpolation using the temperature profile between  $z_n$  and  $z_{n+1}$  and  $p_{ct}$  is determined as above.

#### 3.3.2.3 Atmospheric Temperature Inversion

The determination of cloud top parameters is more difficult when cloud layers are near atmospheric temperature inversions. In these cases, it is possible that multiple atmospheric layers will have the same temperature, and association of cloud top temperature with a particular atmospheric level may not be possible based only on the radiance measurements. It is possible,

however, that the atmospheric structure may provide clues to the probable location of a cloud layer relative to the inversion. The approach to handling inversions should be the subject of future research.

#### 3.3.3 Archived Algorithm Output

The primary output products of the cloud top parameter algorithms are cloud top temperature, cloud top height, and cloud top pressure. In addition, it is anticipated that a number of data quality flags will be associated with these products. These flags have not been implemented in current software, but should be easy to add and will be valuable to operational users. These flags may address the following:

- Quality of atmospheric sounding (potentially based on the sounding source and associated quality flags).
- Quality of other ancillary input data (e.g., surface temperature, surface emissivity).
- Uncertainty due to the existence of an inversion in the temperature profile.
- Uncertainty due to the presence of multilayer clouds using data from the Cloud Cover/Layers (CCL) algorithm.
- Other.

#### 3.3.4 Variance and Uncertainty Estimates

In this section, the approach used to estimates to determine error budgets for the EDR parameters is described. The total measurement error for a given cloud top parameter can be approximated as follows:

$$E_{\mathrm{T}}^{2}(\mathrm{M}) = \Sigma E_{\mathrm{i}}^{2}(\mathrm{M}) \tag{3}$$

where:

 $E_{\rm T} =$  total error of EDR parameter

M = accuracy or precision Metric

 $E_i = i^{th}$  component of error contribution

It is assumed that the individual contributions to total measurement error are independent (uncorrelated).

Note also that:

$$E_{\rm T}^{2}$$
 (Uncertainty) =  $E_{\rm T}^{2}$  (Accuracy) +  $E_{\rm T}^{2}$  (Precision)

The sensor and ancillary input contributions each have several components. Table 6 captures the major contributions to these measurement error sources.

**Error Error Component** Description Algorithm (otherwise referred to as the Retrieval algorithm (model) error assuming perfect sensor and ancillary intrinsic algorithm error) input data Sensor noise, assumed to be random, uncorrelated between channels, Sensor Noise (NEDT) and uncorrelated spatially Modulation Transfer Contribution to pixel radiance from outside the pixel. Function (MTF) Calibration (Absolute Biases in radiance measurements due to calibration errors: can be due to Radiometric Accuracy [ARA]) variations in blackbody emission/reflection sources used for on-board calibration Band-to-Band Registration Relative pointing errors among channels; e.g., channel A looks at a slightly different location than channel B Geolocation (mapping) Uncertainty in knowledge of pixel location Uncertainty in the knowledge of atmospheric temperature or moisture at **Ancillary Data** Vertical one or more levels of the atmosphere. Leads to errors in TOA simulated Temperature/Pressure/Moist ure profiles radiances. Uncertainty in the knowledge of surface emissivity. Leads to errors in TOA Surface Emissivity simulated radiances. Surface (skin) Temperature Uncertainty in the knowledge of surface (skin) temperature. Leads to errors in TOA simulated radiances. Effective Particle Size Uncertainty in the knowledge of effective particle size. Effects the Window IR algorithm. **Cloud Optical Thickness** Uncertainty in the knowledge of cloud optical thickness. Effects the Window IR algorithm. Cloud Mask Errors in the cloud mask, especially when pixels are identified as cloudfilled when they are actually clear Cloud Phase The cloud top parameters algorithms will err when the cloud phase is incorrectly determined; leading to using the wrong branch in the algorithm

Table 6. Major Contributions to Measurement Error

In general, we parameterize the contributions to measurement errors as follows:

$$E_i = \delta x/\delta y \ \sigma_v \approx \Delta x/\Delta y \ \sigma_v$$

where:

x = M metric values for baseline (no noise) case and for EDR parameter (cloud top temperature, pressure, or height) perturbation

$$\Delta x = |x(M) - x(M)|_{\text{no noise}}$$

y = value of error contributor (e.g., skin temperature)

 $\Delta y = \text{magnitude of the perturbation of the error contributor}$ .

 $\sigma_{y}$  = expected standard deviation (uncertainty) of error contributor "y"

#### 3.3.5 Error Budget

The Error Budgets for Cloud Top Parameters are provided in Raytheon VIIRS Error Budget, Version 3 (Y3249).

#### 3.4 ALGORITHM SENSITIVITY STUDIES

Algorithm sensitivity studies were conducted in earlier stages of the project to determine the impact of individual sensor error contributions on algorithm performance. A number of standard scenes (see Table A.1 in Appendix A) were used to support this studies. These studies led to the initial estimates of sensor performance required to meet or exceed SRD requirements.

The studies were divided into three categories: calibration, instrument noise, and ancillary data errors. Examples of each follow.

#### 3.4.1 Calibration Errors

Calibration errors refer to errors in EDR parameter retrievals due to uncompensated biases in radiance measurements. These particular studies address biases that would cause all thermal, and separately, all solar channels to be biased in the same direction. That is, IR and solar biases are independent. These types of biases occur when the emissivity of the on-board blackbody or the reflectivity of the on-board solar diffuser drifts over time. In these studies, the impact of biases on long-term stability and absolute radiometric accuracy are examined. To examine absolute radiometric accuracy, we use the measurement accuracy metric defined in the SRD:

$$\beta = \left| \mu(\gamma\%) - x_{\rm T} \right| \tag{4}$$

where

 $\mu(\gamma\%)$  is the average parameter retrieval for the same truth value with an  $\gamma\%$  radiance perturbation

where

$$\mu = \sum_{N} x_i / N \tag{5}$$

 $x_{\rm T}$  is the truth value of the parameter

N is the number of values included in the average.

To examine long-term stability, we define a metric similar to the long-term stability metric defined in the SRD. [*Note*: the SRD definition of long-term stability treats time series data. The approximate formula that follows treats perturbations as though they were short-term biases in radiance measurements.]

$$\rho = \sum_{N} |(x_i(\gamma\%) - x_i(-\gamma\%))| / N$$
(6)

#### 3.4.1.1 Radiometric Accuracy Results

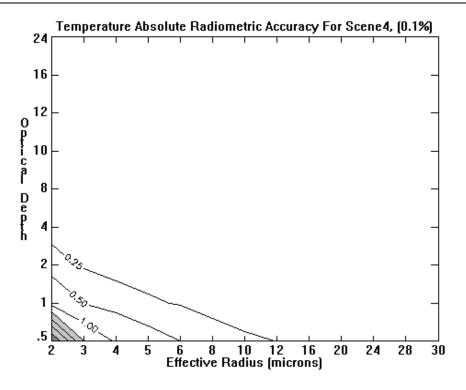
Figures 5a and b show the results for Scenario 4; a US Standard Atmosphere case, nadir satellite view, and with a water cloud inserted between 3 and 4 km. These results demonstrate the effect on retrieved cloud top temperature of biases of 0.1, 0.5, 1 and 2 percent in the 10.8  $\mu$ m radiance, for a range of cloud effective particle sizes and optical depths. The light grey shading indicates where errors exceed the Measurement Accuracy objective values and the dark grey shading shows where errors exceed the threshold values contained in the SRD for clouds of optical depth greater than 0.1 (TBR). The size of the bias that can be tolerated tends to increase with effective particle size and optical depth; this is typical of the results for other scenarios. For  $r_e = 5$  ( a typical size for an altocumulus cloud) and  $\tau = 1$ , we see that the threshold is met at a perturbation of 1 percent and for optical depths greater than 2, perturbations exceeding 2 percent meet threshold. The results for this and other scenarios are summarized in Appendix A.2 for cloud top temperature and Appendices A.3 and A.4 for cloud top pressure and height, respectively. Note that for the cirrus case (scene 2), biases exceeding only 0.6 percent result in accuracy errors exceeding threshold for thin cirrus clouds ( $\tau < 1$ ).

It is interesting to examine results for typical effective particle sizes for water droplet clouds, which generally occur in the range 4.0 to 5.0  $\mu m$  (see Liou, 1992, p. 187). Table 7 shows the bias above which threshold measurement accuracy is exceeded, for  $r_e = 5$  and for  $\tau = 1$  and 10 for cloud top height, temperature, and pressure. Biases range from about 1 to 5 percent, in general. The analysis includes mid-latitude and tropical scenarios for nadir and edge-of-scan cases. These are scenarios 4, 7, 8, 17, 18, and 19 in Appendix A.

#### 3.4.1.2 Radiometric Stability Results

Figure 6 provides results of the computation of the long-term stability metric, as a function of optical depth and effective particle size for scenario 4. The size of the bias that can be tolerated tends to increase with effective particle size and optical depth; this is typical of the results for other scenarios. Biases between 0.1 and 0.5 percent will meet threshold requirements for most optical depths and effective particle sizes. For  $r_e = 5$  (typical altocumulus cloud) biases exceeding 0.5 percent can be tolerated for optical depths exceeding 4. The results for this and other scenarios are summarized in Appendix A.2 for Cloud Top Temperature, and Appendices A.3 and A.4 for Cloud Top Pressure and Height, respectively. Note that for the cirrus case (scene 2), biases < 0.2 percent are required to meet threshold long-term stability requirements for  $\tau < 1$ .

It is interesting to examine results for typical effective particle sizes for water droplet clouds which generally occur in the range 4.0 to 5.0  $\mu m$  (see Liou, 1992, pg. 187). Table 8 shows the bias above which threshold long-term stability is exceeded for  $r_e = 5$  and for  $\tau = 1$  and 10 for cloud top height, temperature, and pressure. Biases range from about 0.1 to 0.7 percent, in general. The analysis includes mid-latitude and tropical scenarios for nadir and edge-of-scan cases. These are scenarios 4, 7, 8, 17, 18, and 19 in Appendix A.



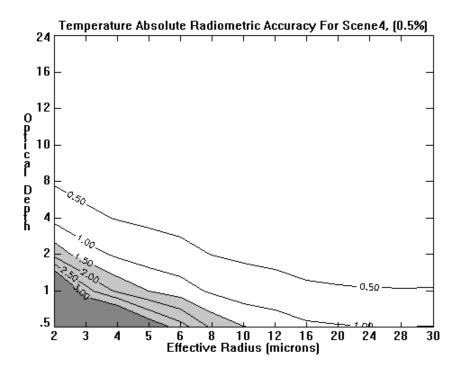
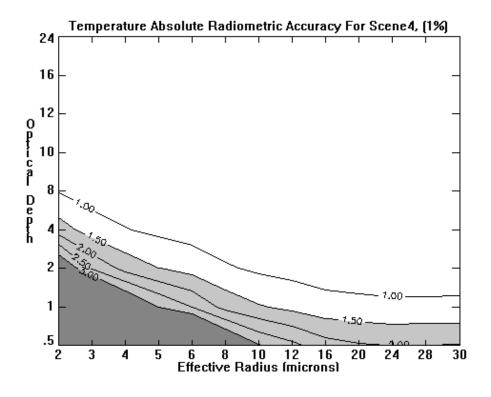


Figure 5a. Radiometric Accuracy results for 0.1% (top) and 0.5% (bottom) perturbations in the 10.8  $\mu m$  radiances using Scenario 4 and the Window IR algorithm. The measurement accuracy metric is plotted.



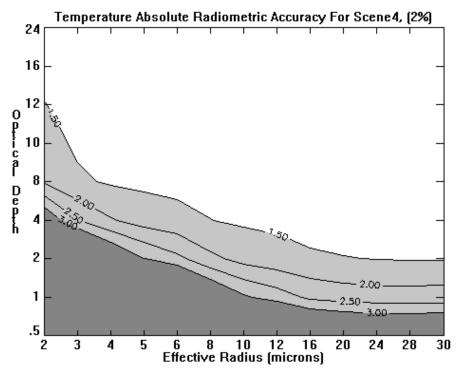


Figure 5b. Radiometric Accuracy results for 1% (top) and 2% (bottom) perturbations in the  $10.8~\mu m$  radiances using Scenario 4 and the Window IR algorithm. The measurement accuracy metric is plotted.

Table 7. Bias values (in percent) above which threshold measurement accuracy is exceeded for water droplet clouds, with  $r_e = 5$  and for  $\tau = 1$  and 10.

EDR Parameter: Cloud Top Height, Temperature, and Pressure

Sensor Parameter: Radiometric Accuracy

Algorithm: Window IR

Effective Radius: Effective Radius =  $5 \mu m$ 

		Не	eight	Temp	erature	Pressure	
Scene	Scene Description	τ = 1	τ = 10	τ = 1	τ = 10	τ = 1	τ = 10
4	Water Cloud (4/1km), US Standard	5%	5%	1%	4%	1.5%	5%
	Veg., $\theta$ = 0, $\theta_0$ = Night						
7	Water Cloud (4/1km), US Standard	5%	5%	1.1%	4%	2%	5%
	Veg., $\theta$ = 40, $\theta_0$ = Night						
8	Water Cloud (7/1km), Tropical	5%	5%	0.4%	2.5%	5%	5%
	Veg., $\theta$ = 0, $\theta_0$ = Night						
17	Water Cloud (4/1km), US Standard	5%	5%	2%	4%	5%	5%
	Veg., $\theta$ = 55, $\theta_0$ = Night						
18	Water Cloud (7/1km), Tropical	5%	5%	0.75%	4%	5%	5%
	Veg., $\theta$ = 40, $\theta_0$ = Night						
19	Water Cloud (7/1km), Tropical	5%	5%	2%	4.5%	5 %	5%
	Veg., $\theta$ = 55, $\theta_0$ = Night						

Table 8. Bias values (in percent) above which threshold long-term stability is exceeded for water droplet clouds with  $r_e = 5$  and for  $\tau = 1$  and 10.

EDR Parameter: Cloud Top Height, Temperature, and Pressure

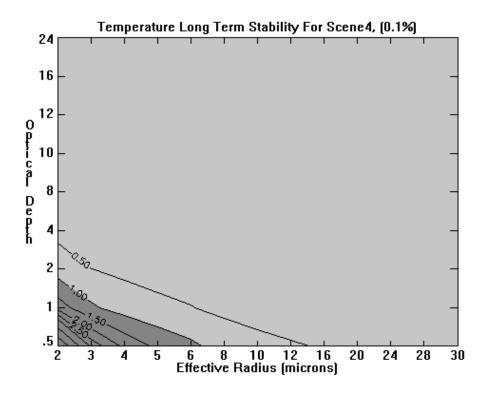
Sensor Parameter: Radiometric Stability

Algorithm: Window IR

Effective Radius: Effective Radius =  $5 \mu m$ 

		Н	eight	Tempe	erature	Pres	sure
Scene	Scene Description	τ = 1	τ = 10	τ = 1	τ = 10	τ = 1	τ = 10
4	Water Cloud (4/1km), US Standard	0.2%	0.7%	0.1%	0.5%	< 0.1%	0.3%
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$						
7	Water Cloud (4/1km), US Standard	0.3%	0.75%	0.2%	0.6%	< 0.1%	0.3%
	Veg., $\theta$ = 40, $\theta_0$ = Night						
8	Water Cloud (7/1km), Tropical	0.1%	0.6%	< 0.1%	0.3%	< 0.1%	0.3%
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$						
17	Water Cloud (4/1km), US Standard	0.4%	0.75%	0.3%	0.7%	0.15%	0.4%
	Veg., $\theta$ = 55, $\theta_0$ = Night						
18	Water Cloud (7/1km), Tropical	0.2%	0.7%	0.15%	0.6%	< 0.1%	0.3%
	Veg., $\theta$ = 40, $\theta_0$ = Night						
19	Water Cloud (7/1km), Tropical	0.4%	0.7%	0.3%	0.7%	0.15%	0.3%
	Veg., $\theta = 55$ , $\theta_0 = \text{Night}$						





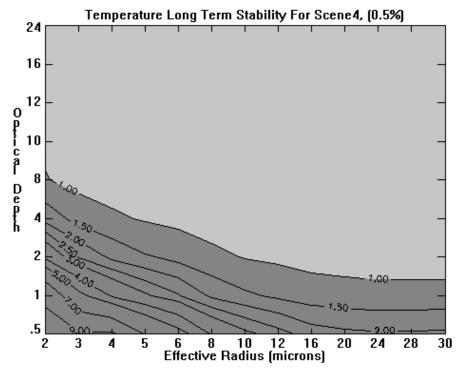


Figure 6. Radiometric Stability results for 0.1% (top) and 0.5% (bottom) perturbations of the 10.8  $\mu m$  radiances using Scenario 4 and the Window IR algorithm. The long-term stability metric is plotted.

#### 3.4.2 Instrument Noise

Instrument noise refers to random noise introduced into the measured radiances by the VIIRS instrument. It is assumed that the noise is uncorrelated in time, from pixel-to-pixel, and across bands. Instrument noise is being investigated through application of seven noise models provided by RSBR (Raytheon Santa Barbara Research). The noise is modeled using a Gaussian distribution, with mean and standard deviation dependent on the waveband and magnitude of radiance. The noise models are numbered 1 through 7, with noise increasing with model number.

Two metrics were applied to investigate the effect of instrument noise on EDR retrieval accuracy: measurement accuracy and measurement precision. For these experiments, the measurement accuracy metric was applied as follows:

$$\beta = |\mu - x_{\mathsf{T}}| \tag{7}$$

where symbols are defined as in Section 3.4.1. For these tests, the mean was developed by randomly adding noise 32 times to the unperturbed radiance value(s) used by the retrieval algorithms. The perturbed radiances were then processed through the retrieval algorithm and the measurement accuracy metric computed. This process was repeated for each noise model.

The measurement precision metric is the standard deviation of the retrieved values, relative to the mean of the retrieval values, with the same truth-value for all retrievals.

$$\sigma = \sqrt{\sum_{N} (x_i - \mu)^2 / (N - 1)}$$
(8)

If we assume that the response of the EDR retrieval algorithm is linear for small radiance perturbations, we can see that the measurement accuracy metric should be insensitive to noise and that the precision metric should be sensitive to noise. This was found to be the case for all but the largest noise models (i.e., noise models 6 and 7).

Figures 7 a-f show contour plots of measurement accuracy, and Figures 8 a-f show measurement precision results for a range of optical depths and effective particle sizes for noise models 1 through 6. The results are for Scenario 4, which is a U.S. Standard Atmosphere case, nadir satellite view, with a water cloud inserted between 3 and 4 km in altitude. In these plots, the light gray shaded regions are regions where the objective is exceeded and the dark gray shaded regions are regions where the threshold is exceeded. It is obvious that measurement accuracy meets both threshold and objective up to noise models 5 and 6, respectively. This was an expected result. Measurement accuracy results for other scenarios are consistent with these findings; therefore, no further instrument noise measurement accuracy results will be shown. On the other hand, measurement precision is affected by instrument noise. The shaded regions expand from the lower left portion of the figures (small optical depth and effective particle size) as instrument noise increases, beginning with noise model 3. These plots indicated that the threshold measurement precision is met for noise model < 5 for virtually all  $r_{\rm e}$  and  $\tau$  > 1, and the objective is met for noise model < 3 for most  $r_{\rm e}$  and  $\tau$  > 1.

Figure 9 shows measurement precision results for Scenario 2, a cirrus case. (See Appendix A for a more detailed description of scenarios.) Again, this case is for the U.S. Standard atmosphere,

nadir view, and the cirrus cloud is between 9 and 10 km in altitude. For these plots, the results for all effective particle sizes were aggregated and the precision is depicted as a function of optical depth. Note that the measurement precision meets threshold for most noise models for optical depths greater than about 0.5; the threshold is met for noise model < 5 for  $\tau > 0.125$ .

Appendix A.2 provides a summary of measurement precision results for a number of scenarios. Appendices A.3 and A.4 provide these results for cloud top pressure and height, respectively.

It is interesting to examine results for typical effective particle sizes for water droplet clouds which generally occur in the range 4.0 to 5.0  $\mu m$  (see Liou, 1992, pg. 187). Table 9 shows the noise model above which threshold measurement precision is exceeded for  $r_e = 5$  and for  $\tau = 1$  and 10 for cloud top height, temperature, and pressure. In general, noise models 4, 5, and 6 are acceptable. (It should be noted that recent flowdown analyses have indicated that noise model 3 might be more appropriate when other contributors to the error budget are considered, particularly for small optical depths.). The analysis includes mid-latitude and tropical scenarios for nadir and edge-of-scan cases. These are scenarios 4, 7, 8, 17, 18, and 19 in Appendix A.

Table 9. Noise model above which threshold measurement precision is exceeded for water droplet clouds with  $r_e = 5$  and for  $\tau = 1$  and 10.

EDR Parameter: Cloud Top Height, Temperature, and Pressure

Sensor Parameter: Radiometric Noise

Algorithm: Window IR

Effective Radius: Effective Radius =  $5 \mu m$ 

		He	ight	Temp	erature	Pres	sure
Scene	Scene Description	τ = 1	τ = 10	τ = 1	τ = 10	τ = 1	τ = 10
4	Water Cloud (4/1km), US Standard	Model 4	Model 5	Model 4	Model 5	Model 5	Model 5
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$						
7	Water Cloud (4/1km), US Standard	Model 4	Model 5	Model 4	Model 5	Model 5	Model 5
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$						
8	Water Cloud (7/1km), Tropical	Model 4	Model 5	Model 3	Model 5	Model 5	Model 6
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$						
17	Water Cloud (4/1km), US Standard	Model 5					
	Veg., $\theta$ = 55, $\theta_0$ = Night						
18	Water Cloud (7/1km), Tropical	Model 4	Model 5	Model 4	Model 5	Model 5	Model 6
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$						
19	Water Cloud (7/1km), Tropical	Model 6	Model 5	Model 5	Model 5	Model 6	Model 6
	Veg., $\theta = 55$ , $\theta_0 = \text{Night}$						

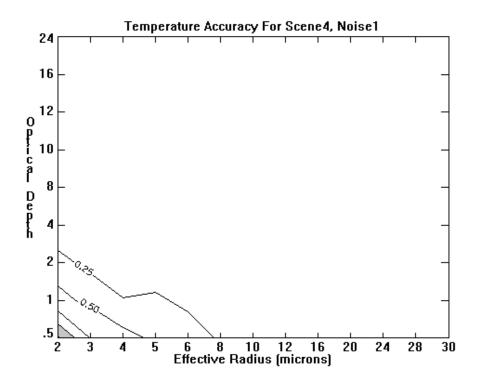


Figure 7a. Instrument noise contour plot for measurement accuracy. Scenario 4, Model 1.

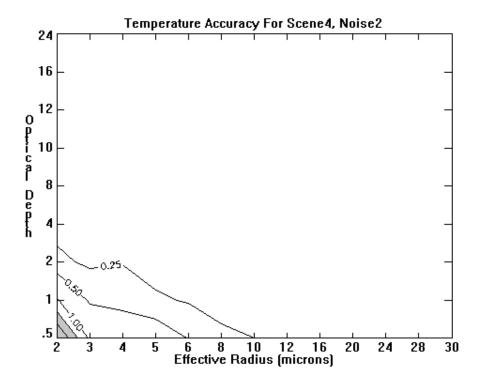


Figure 7b. Instrument noise contour plot for measurement accuracy. Scenario 4, Model 2.

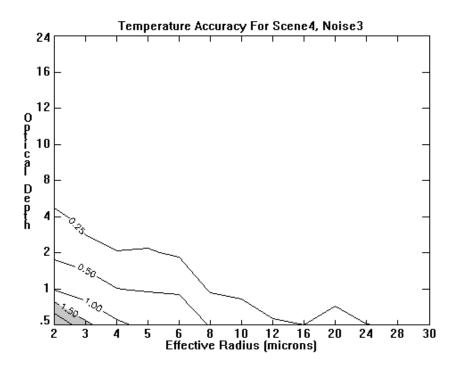


Figure 7c. Instrument noise contour plot for measurement accuracy. Scenario 4, Model 3.

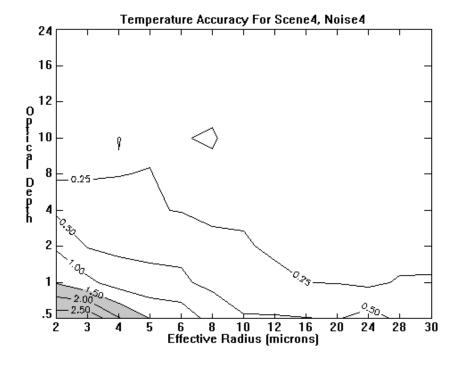


Figure 7d. Instrument noise contour plot for measurement accuracy. Scenario 4, Model 4.

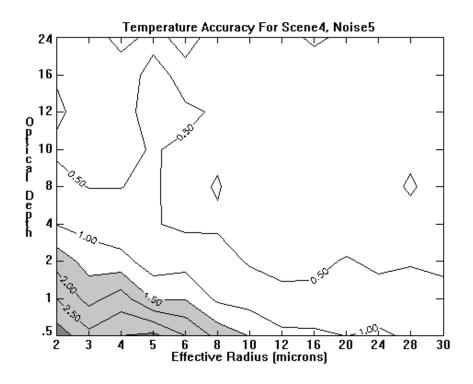


Figure 7e. Instrument noise contour plot for measurement accuracy. Scenario 4, Model 5.

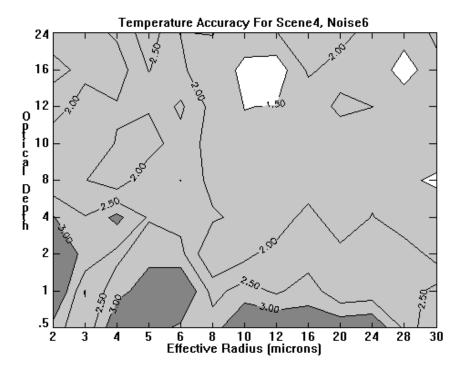


Figure 7f. Instrument noise contour plot for measurement accuracy. Scenario 4, Model 6.

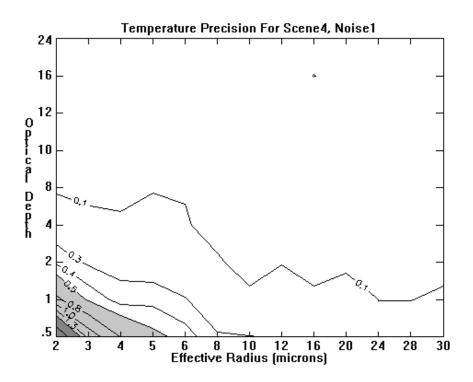


Figure 8a. Instrument noise measurement precision. Scenario 4, Model 1.

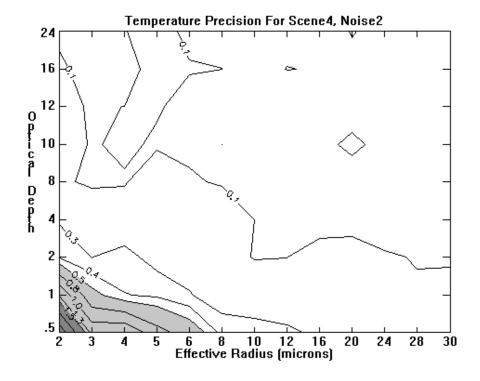


Figure 8b. Instrument noise measurement precision. Scenario 4, Model 2.

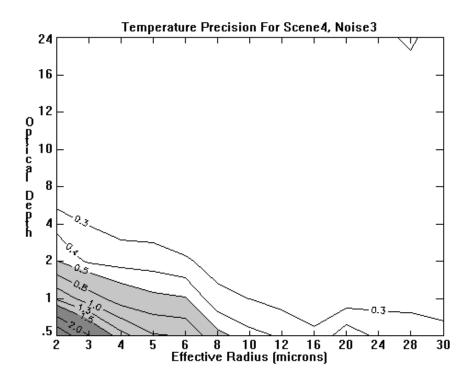


Figure 8c. Instrument noise measurement precision. Scenario 4, Model 3.

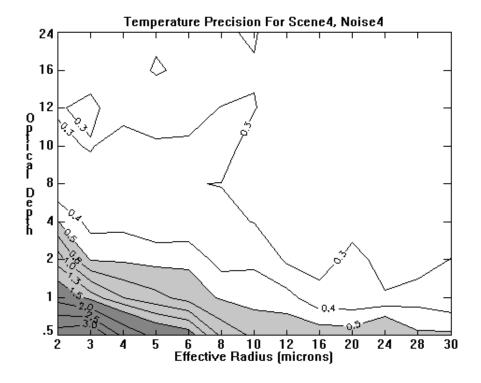


Figure 8d. Instrument noise measurement precision. Scenario 4, Model 4.

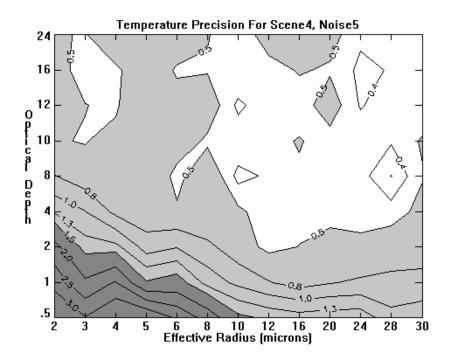


Figure 8e. Instrument noise measurement precision. Scenario 4, Model 5.

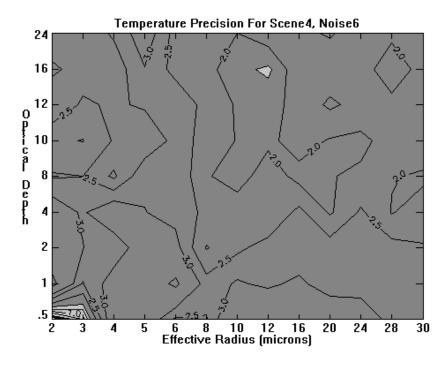


Figure 8f. Instrument noise measurement precision. Scenario 4, Model 6.

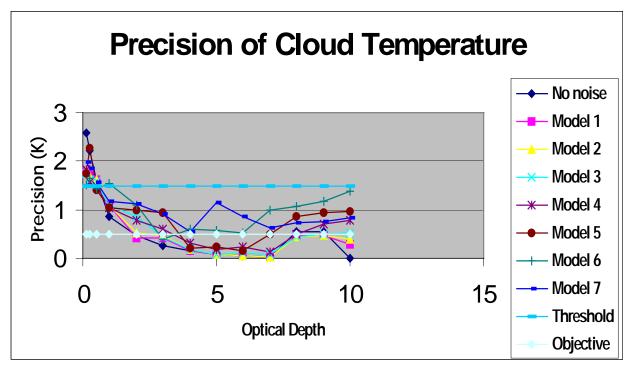


Figure 9. Instrument Noise Measurement Precision Results. Scenario 2.

# 3.4.3 Ancillary Data

The identification and contribution to performance of uncertainties in ancillary data and other sensor error sources are addressed in the error budget studies in Sections 3.3.4 and 3.3.5.

#### 3.5 PRACTICAL CONSIDERATIONS

The discussions in this section apply mainly to the Window IR and cloud top parameter interpolation algorithms. See Ou *et al.* (2000) for a discussion of the IR Cirrus and Water Cloud Parameter Retrieval Algorithms, which estimate cloud top temperature for cirrus (ice) clouds (day and night) and water clouds at night.

# 3.5.1 Numerical Computation Considerations

A practical issue to be resolved involves the radiative transfer analysis that must be performed to support the Window IR solution. The approach requires up to N radiative transfer solutions for the Specified Environmental Scenario and for a cloud layer positioned in one-kilometer steps from the ground to N kilometers in altitude. The retrieved cloud top altitude is the one for which the solution and VIIRS 10.8 µm radiance measurement best match. A comprehensive radiance look-up table (LUT) is required to apply the algorithm operationally. In the LUT approach, radiance tables are pre-computed and accessed each time a retrieval is performed. The methods to identify the appropriate look-up table have not yet been developed, but the tables must extend over the complete operational range of Environmental Scenarios at sufficient resolution in parameter space to meet retrieval accuracy requirements.

## 3.5.2 Programming and Procedural Considerations

## 3.5.2.1 Programming Considerations

The Window IR and interpolation algorithms are written in the C programming language and should be easily transferrable to other computation environments.

#### 3.5.2.2 Procedural Considerations

Table 10 provides an outline of the procedure for determining cloud top parameters.

Description Step 1 Obtain VIIRS data: radiances, sun-sensor geometry, and cloud mask and cloud phase (ice or water cloud) results. Obtain ancillary data required by algorithms. 2 Ice cloud. Execute UCLA IR cirrus parameter retrieval algorithm. See Ou et al. (2000). Go to step 4A. 3A 3B Water cloud Daytime. Initialize  $\tau$  and  $r_e$ . Execute Window IR algorithm. Go to step 4B. 3C Water cloud Nighttime. Execute UCLA IR water parameter retrieval algorithm. See Ou et al. (2000). Go to step 4A. UCLA Algorithm. Execute Interpolation Algorithm to obtain cloud top height and pressure from cloud top 4A temperature. Go to step 5. 4B Window IR Algorithm. Execute Interpolation Algorithm to obtain cloud top temperature and pressure from cloud top height. Store "final" values of cloud top parameters and quality flags in database for aggregation to HCS and 5 processing by other EDRs.

**Table 10. Cloud Top Parameter Retrieval Procedure** 

## 3.5.3 Configuration of Retrievals

As previously indicated, the retrieval of cloud top parameters will follow execution of the VIIRs Cloud Mask algorithm, which also computes cloud phase for each pixel. For ice clouds, the UCLA IR cirrus parameter retrieval algorithm will be executed to determine cloud top temperature for ice clouds, day or night. This cloud top temperature will be used to determine other cloud top parameters with the use of sounding (temperature versus height and pressure) data. For water clouds during night time, the UCLA IR water cloud parameter retrieval algorithm will be executed to determine cloud top temperature for water clouds. For water clouds during day time, the Window IR algorithm would be executed. Prior to executing the Window IR algorithm, the UCLA solar retrieval algorithm for optical properties for water clouds will be executed to provide effective particle size and optical thickness information needed by the Window IR algorithm. These two algorithms could also be executed iteratively to determine the cloud top temperature and optical properties that are most consistent with the measurements. The resulting cloud height will be used to determine the remaining two cloud top parameters.

## 3.5.4 Quality Assessment and Diagnostics

The assessment of the quality of retrievals will fall into four categories: Sensor Parameters; Environmental Scenario; Cloud Scenario; and Ancillary Data. Experience gained through simulations, and eventually by validation, will be captured and used to assess the quality of

retrievals and provide guidance to the users of these products in the form of data quality flags. A list of parameters or situations that may influence data quality follows.

- Sensor Parameters. The Qualities of sensor data include:
  - Sensor noise.
  - Radiance calibration.
  - Geolocation.
  - MTF
  - Band-to-Band registration.
- Environmental Scenario. Particulars of the environmental scenario that may affect retrieval accuracy include:
  - Values of Environmental Parameters. Sensitivity studies and flowdown indicate that retrieval accuracy is a function of the particular values of some environmental parameters (e.g, surface temperature, surface emissivity, sounding data).
  - Atmospheric inversion identified in sounding.
- *Cloud Scenario*. The qualities or values of other cloud parameters that may affect retrieval accuracy include:
  - Cloud optical depth. Flowdown results show that retrieval accuracy can be a function of optical depth.
  - Cloud effective particle size. Flowdown results show that retrieval accuracy can be a function of effective particle size.
  - Existence of multilayer clouds. Multilayer clouds are difficult to identify and have an impact on radiance measurements. The primary problem is when a thin cloud overlays a lower cloud layer. Therefore, multi-layer clouds will affect retrievals when a single layer cloud is assumed in the radiative transfer analysis or retrieval algorithm and a multi-layer cloud actually exists within the field-of-view.
  - Satellite viewing geometry. Flowdown results show some sensitivity to satellite view geometry.
  - Solar position. Solar position influences UCLA IR cirrus parameter retrievals during daytime.
- Ancillary Data
  - In general, the quality of ancillary data affects the quality of retrievals. This has been explored in the Error Budget studies.

### 3.5.5 Exception Handling

We define "exception handling" as the procedure for handling missing or degraded data or a degraded processing environment.



## 3.5.5.1 Missing/Degraded Data

Table 11 lists VIIRS and ancillary data, potential primary and secondary sources of these data, and whether these data are essential or nonessential. We define "essential data" to mean data that are absolutely necessary to meet EDR threshold requirements, and "nonessential data" as data that improve retrievals.

## 3.5.5.2 Degraded Processing Environment

The most resource-intensive task associated with these algorithms involves executing the radiative transfer model. As indicated previously (Sections 3.3.2.1 and 3.5.1), this may be done "on the fly," or pre-computed radiance look-up tables may be consulted. An interesting compromise would be to build the look-up tables as part of the "operational processing." The radiance results for new scenarios would be archived. If the scenario occurs again (within some tolerance), the radiative transfer model would not be rerun; rather, the previously generated look-up table would be used. This scheme would allow interpolation between look-up tables, as is required for any look-up table solution. It is very likely that within a few months of processing (perhaps spread out over the year), a very representative set of look-up tables will have been developed. At the very least, these tables would be the source of radiance data in degraded processing mode; at most they would be the primary source of radiance data.

Table 11. Data used by retrieval algorithms, whether the data are essential or nonessential, and primary, secondary, and tertiary data sources.

Data Type	Description	Essential/ Nonessential	Potential Source*
VIIRS Radiances	0.672, 3.70, and 10.8 μm radiances	Essential	1: VIIRS 2: None
Sensor Viewing Geometry	Sensor zenith and azimuth angles for each pixel	Essential	VIIRS Calibrated TOA SDR     Modeled using satellite ephemeris data
Solar Geometry	Solar zenith and azimuth angles for each pixel	Essential during daytime	VIIRS Calibrated TOA SDR     Modeled using previous satellite ephemeris data
Cloud Mask	Cloud/no cloud for each pixel	Essential	VIIRS Cloud Mask algorithm     Cloud/no cloud based on simple thresholding
Cloud Phase	Ice cloud or water cloud flag	Essential	VIIRS Cloud Mask algorithm     CMIS IWC and CLW data
Cloud Optical Depth	Pixel-level optical depth of ice or water cloud	Essential for Window IR during daytime	VIIRS Cloud Optical Depth EDR algorithm     Default values
Cloud Effective Particle Size	Pixel-level effective particle size of ice or water cloud	Essential for Window IR during daytime)	VIIRS Cloud Effective Particle     Size EDR algorithm     Default values
Atmospheric Sounding	Atmospheric temperature and relative humidity as functions of pressure and height	Essential	<ol> <li>NCEP re-analysis</li> <li>CMIS sounding data</li> <li>CrIS sounding data</li> </ol>
Surface Emissivity	In-band emissivity of Earth's surface	Essential	Emissivity from Spectral Library associated with terrain category VIIRS Surface Types- Olson IP     Same, but using database other than VIIRS
Surface Skin Temperature  *1 = Primary Potential Sci	Skin temperature associated with pixel region  ource *2 = Secondary F	Essential  Potential Source *	NCEP re-analysis and forecast     VIIRS Land Surface Temperature     EDR and Sea Surface     Temperature EDR     = Tertiary Potential Source

## 3.6 ALGORITHM VALIDATION

The Cloud Integrated Product Team (IPT) has or will have access to a number of data sources that could be used to validate the Cloud Top Parameter retrieval algorithms. These sources include the following:

• Radiance data collected by the MODIS Airborne Simulator (MAS), with cloud tops



determined from the on-board lidar data.

• MODIS data when available, together with the use of data from associated retrieval algorithm validation campaigns.

The validation effort should be able to take advantage of past and planned cloud field campaigns (such as FIRE-I, FIRE-II, ARM Spring 2000, Fire Tropical 2002/2003, and the Terra validation studies). Regardless of the data used, it is essential that the data sets include reliable radiance data at or very near the proposed VIIRS wave bands, all required ancillary data, and an accurate description of the associated cloud parameters (type, base, height, optical properties, etc.) for ground truth.

### 3.7 ALGORITHM DEVELOPMENT SCHEDULE

Phase I algorithm development is complete.

### 4.0 ASSUMPTIONS AND LIMITATIONS

#### 4.1 ASSUMPTIONS

The major assumptions listed below relate to the Window IR and Cloud Top Parameter Interpolation Algorithms. See Ou *et al.* (2000) for a description of the assumptions made in the UCLA Cloud Parameter Retrieval Algorithm.

- The retrieval algorithm is based on plane-parallel radiative transfer theory. Horizontal inhomogeneities in cloud and environmental parameters and their effects on radiative transfer are not modeled.
- At this time, multilayer cloud conditions are not modeled in the radiative transfer solution. Degraded performance is expected when multilayer clouds are present within the same pixel.
- It is assumed that the atmosphere is in thermodynamic equilibrium and that the hydrostatic approximation applies.
- It is assumed that the optical properties of water droplet clouds are not sensitive to the exact shape of the particle size distribution.

#### 4.2 LIMITATIONS

No major limitations have yet been identified for the Window IR and cloud top parameter Interpolation Algorithms. The algorithms are applicable both day and night, and results indicate accurate retrievals are possible over the full range of viewing geometries. See Ou, *et al.* (2000) for a description of the limitations of the UCLA Cloud Parameter Retrieval Algorithm. We do expect degraded performance when multilayer clouds are present within pixels and when a temperature inversion is present in the atmosphere. The impacts of these conditions on retrieval accuracy have not yet been quantified.



## 5.0 REFERENCES

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# APPENDIX A A SUMMARY OF CLOUD TOP PARAMETER FLOWDOWN RESULTS



# A.1 SUMMARY OF SCENARIOS BEING USED

Table A1-1. Flowdown scenarios.

Scene	Cloud	Atmosphere	Cloud	Cloud	Latitude	Surface	Solar	Sensor	Date /	Cloud	Analysis
	Type		Тор	Base		Туре	Zenith	LOS	Time (hh.h)	Amount	Status
									GMT		
1	ice	US Std	10 km	9 km	40 N	Vegetative	32.0	Nadir	1 May 98	0.88	Analyzed
							(solar)		18.50	0.50	
2	ice	US Std	10 km	9 km	40 N	Vegetative	32.0	Nadir	1 May 98	0.88	Analyzed
							(IR)		18.50	0.50	
3	water	US Std	2 km	1 km	40 N	Vegetative	32.0	Nadir	1 May 98	0.88	Analyzed
							(solar)		18.50	0.50	
4	water	US Std	4 km	3 km	40 N	Vegetative	32.0	Nadir	1 May 98	0.88	Analyzed
							(IR)		18.50	0.50	
5	ice	Tropical	15 km	14 km	7.41 N	Vegetative	25.44	Nadir	23 Sep 98	0.88	Analyzed
							(solar)		1.28		
6	ice	Tropical	15 km	14 km	7.41 N	Vegetative	25.44	Nadir	23 Sep 98	0.88	Analyzed
							(IR)		1.28		
7	water	US Std	4 km	3 km	40 N	Vegetative	32.0	40 (140)	1 May 98	0.88	Analyzed
							(IR)		13.50		
8	water	Tropical	7 km	6 km	7.41 N	Vegetative	25.44	Nadir	23 Sep 98	0.88	Not
							(IR)		1.28		Analyzed
9	ice	US Std	10 km	9 km	40 N	Vegetative	32.0	40 (140)	1 May 98	0.88	Analyzed
							(solar)		18:50		

**Table A1-1. Flowdown scenarios. (Continued)** 

Scene	Cloud	Atmosphere	Cloud	Cloud	Latitude	Surface	Solar	Sensor	Date /	Cloud	Status
	Type		Тор	Base		Туре	Zenith	LOS	Time (hh.h)	Amount	
									GMT		
10	ice	US Std	10 km	9 km	40 N	Vegetative	32.0	40 (140)	1 May 98	0.88	Analyzed
							(IR)		18.50		
11	ice/wat	US Std	10 km	9 km	40 N	Vegetative	32.0	Nadir	1 May 98	0.88	Analyzed
	er		2 km	1 km			(solar)		18.50		
12	ice/wat	US Std	10 km	9 km	40 N	Vegetative	32.0	Nadir	1 May 98	0.88	Analyzed
	er		2 km	1 km			(IR)		18.50		
13	ice	US Std	10 km	9 km	40 N	Vegetative	32.0	Edge of	1 May 98	0.88	Analyzed
							(solar)	Scan	18.50	0.50	
14	ice	US Std	10 km	9 km	40 N	Vegetative	32.0	Edge of	1 May 98	0.88	Analyzed
							(IR)	Scan	18.50	0.50	
17	water	US Std	4 km	3 km	40 N	Vegetative	32.0	Edge of	1 May 98	0.88	Analyzed
							(IR)	Scan	18:50	0.50	
18	water	Tropical	5 km	4 km	6.59 N	Vegetative	20.23	40 (140)	21 Jun 98	0.88	Analyzed
							(IR)		1.28	0.50	-
19	water	Tropical	5 km	4 km	6.59 N	Vegetative	20.23	Edge of	21 Jun 98	0.88	Analyzed
							(IR)	Scan	1.28	0.50	

**Table A1-1. Flowdown scenarios. (Continued)** 

Scene	Cloud Type	Atmosphere	Cloud Top	Cloud Base	Latitude	Surface Type	Solar Zenith	Sensor LOS	Date / Time (hh.h) GMT	Cloud Amount	Scenario
11	ice/water	US Std	10 km	9 km	40 N	Vegetative	32.0	Nadir	1 May 98	0.88	Analyzed
			2 km	1 km			(solar)		18.50		
12	ice/water	US Std	10 km	9 km	40 N	Vegetative	32.0	Nadir	1 May 98	0.88	Analyzed
			2 km	1 km			(IR)		18.50		

# A.2 CLOUD-TOP TEMPERATURE FLOWDOWN RESULTS

**EDR Parameter:** Cloud-Top Temperature

**Sensor Parameter:** Radiometric Noise

SRD Metric: Precision
Threshold Value: 1.5K
Objective Value: 0.5K

Page 1 of 2

Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
1	Ice Cloud (10/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = 32$	UCLA Cirrus Solar			
2	Ice Cloud (10/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	UCLA Cirrus IR	Noise Model $\leq$ 6 for $\tau > 0.5$ All $D_e$	Noise Model $\leq 4$ for $3 \leq \tau \leq 8$ All $D_e$	Noise model $\leq$ 6; thresholds met in all cases for $\tau > 0.5$
3	Water Cloud (2/1km), US Standard Veg., $\theta$ = 0, $\theta$ 0 = 32	UCLA Cirrus Solar			
4	Water Cloud (4/1km), US Standard Veg., $\theta$ = 0, $\theta$ 0 = Night	Window IR	Noise Model $< 4$ $r_e > 3$ , for $\tau > 1$	Noise Model < 2 $r_e > 2.5$ ; All $\tau > 1$	Noise model < 4; thresholds met in all cases
5	Ice Cloud (15/1km), Tropical Veg., $\theta = 0$ , $\theta_0 = 25.44$	UCLA Cirrus Solar			
6	Ice Cloud (15/1km), Tropical Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	UCLA Cirrus IR	Noise Model $\leq 7$ All $\tau$ , All $D_e$	Noise Model < 5 All $\tau$	Noise model ≤ 7; thresholds met in all cases
7	Water Cloud (4/1km), US Standard Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	Window IR	Noise Model $< 5$ All $\tau$ , All $r_e$	Noise Model < 4 $r_e > 3$ ; for $\tau > 1$	Noise model < 5; thresholds met in all cases
8	Water Cloud (7/1km), Tropical Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	Window IR	Noise Model < 3 for $r_e > 5$ ; $\tau > 1.5$	Noise Model < 2 for $r_e > 7$ , $\tau > 2$	Noise model < 3; thresholds met most cases
9	Ice Cloud (10/1km), US Standard Veg., $\theta = 40$ , $\theta_0 = 32$	UCLA Cirrus Solar			

**EDR Parameter:** Cloud-Top Temperature

**Sensor Parameter:** Radiometric Noise

SRD Metric: Precision
Threshold Value: 1.5K

Objective Value: 0.5K Page 2 of 2

Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
10	Ice Cloud (10/1km), US Standard Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	UCLA Cirrus IR	Noise Model $\leq$ 7 All $\tau$ , All $D_e$	Noise Model $\leq 5$ $\tau > 0.5$	Noise model ≤ 7; thresholds met in all cases
13	Ice Cloud (10/1km), US Standard Veg., $\theta = 55$ , $\theta_0 = 32$	UCLA Cirrus Solar			
14	Ice Cloud (10/1km), US Standard Veg., $\theta = 55$ , $\theta_0 = \text{Night}$	UCLA Cirrus IR			
15	Subarctic - TBD				
16	Subarctic- TBD				
17	Water Cloud (4/1km), US Standard Veg., $\theta$ = 55, $\theta_0$ = Night	Window IR	Noise Model $<$ 5 All $\tau$ and $r_e$	Noise Model $<$ 4 For $\tau > 1$ and $r_e > 3.5$	Noise model < 5 thresholds met in all cases
18	Water Cloud (7/1km), Tropical Veg., $\theta$ = 40, $\theta$ <sub>0</sub> = Night	Window IR	Noise Model $<$ 4 All $\tau > 1$ and $r_e > 4.5$	Noise Model $< 3$ For $\tau > 1$ and $r_e > 4.5$	Noise model < 4 thresholds met in most cases
19	Water Cloud (7/1km), Tropical Veg., $\theta$ = 55, $\theta_0$ = Night	Window IR	Noise Model < 4 All $\tau > 1$ and $r_e > 3$	Noise Model $< 3$ for $\tau > 1$ and All $r_e$	Noise model < 4 thresholds met in most cases
20	Ice Cloud (10/1km), US Standard Desert, $\theta$ = 55, $\theta$ <sub>0</sub> = 32	UCLA Cirrus Solar			
21	Ice Cloud (10/1km), US Standard Desert., $\theta$ = 55, $\theta$ <sub>sol</sub> = Night	UCLA Cirrus IR			

**EDR Parameter:** Cloud-Top Temperature

Sensor Parameter: Absolute Radiometric Accuracy

SRD Metric: Measurement Accuracy

Threshold Value: 3K

Objective Value: 1.5K Page 1 of 2

Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
1	Ice Cloud (10/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = 32$	UCLA Cirrus Solar			
2	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 0, $\theta_0$ = Night	UCLA Cirrus IR	Bias $\leq$ 2% for all $\tau$ and $D_e$	Bias $\leq$ 2% for all $\tau$ and $D_e$	Higher Biases were not generated for this study, but the results indicate that higher perturbations of radiance will meet threshold.
3	Water Cloud (2/1km), US Standard Veg., $\theta$ = 0, $\theta$ 0 = 32	UCLA Cirrus Solar			
4	Water Cloud (4/1km), US Standard Veg., $\theta$ = 0, $\theta_0$ = Night	Window IR	Biases $\leq 0.1\%$ All $r_e$ and $\tau$	Biases $\leq$ 0.1% for $r_e > 3$ and $\tau > 1$	Threshold of 3K not consistent with height threshold of 1 km
5	Ice Cloud (15/1km), Tropical Veg., $\theta$ = 0, $\theta$ 0 = 25.44	UCLA Cirrus Solar			
6	Ice Cloud (15/1km), Tropical Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	UCLA Cirrus IR			
7	Water Cloud (4/1km), US Standard Veg., $\theta$ = 40, $\theta_0$ = Night	Window IR	Biases $\leq 0.3\%$ All $r_e$ and $\tau$	$\begin{aligned} \text{Biases} &\leq 0.1\% \\ \text{for } r_e &> 2.5 \text{ and} \\ \tau &> 1 \end{aligned}$	Threshold of 3K not consistent with height threshold of 1 km
8	Water Cloud (7/1km), Tropical Veg., $\theta$ = 0, $\theta_0$ = Night	Window IR	Biases $\leq$ 0.1% All $\tau$ and $r_e$ $>$ 3.5	Biases $\leq$ 0.1% for $\tau$ > 1.5 and $r_e$ > 5	Threshold of 3K not consistent with height threshold of 1 km

**EDR Parameter:** Cloud-Top Temperature

Sensor Parameter: Absolute Radiometric Accuracy

**SDR Metric:** Measurement Accuracy

Threshold Value: 3K

Objective Value: 1.5K Page 2 of 2

Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
9	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 40, $\theta_0$ = 32	UCLA Cirrus Solar			
10	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 40, $\theta$ <sub>0</sub> = Night	UCLA Cirrus IR			
13	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 55, $\theta_0$ = 32	UCLA Cirrus Solar			
14	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 55, $\theta_0$ = Night	UCLA Cirrus IR			
15	Subarctic - TBD				
16	Subarctic- TBD				
17	Water Cloud (4/1km), US Standard Veg., $\theta$ = 55, $\theta_0$ = Night	Window IR	Biases $\leq$ 0.5% All $\tau$ And $r_e > 2.5$	Biases $\leq$ 0.1% All $\tau$ and $r_e$	Threshold of 3K not consistent with height threshold of 1 km
18	Water Cloud (7/1km), Tropical Veg., $\theta$ = 40, $\theta_0$ = Night	Window IR	Biases $\leq$ 0.1% All $\tau$ And $r_e > 3.0$	Biases $\leq 0.1\%$ All $\tau > 1$ And $r_e > 3.5$	Threshold of 3K not consistent with height threshold of 1 km
19	Water Cloud (7/1km), Tropical Veg., $\theta$ = 55, $\theta_0$ = Night	Window IR	$\begin{aligned} & \text{Biases} \leq 0.5\% \\ & \text{All } \tau \\ & \text{And } r_{\text{e}} > 2.5 \end{aligned}$	Biases $\leq$ 0.1% All $\tau > 1$ All $r_e$	Threshold of 3K not consistent with height threshold of 1 km
20	Ice Cloud (10/1km), US Standard Desert, $\theta$ = 55, $\theta_0$ = 32	UCLA Cirrus Solar			
21	Ice Cloud (10/1km), US Standard Desert., $\theta$ = 55, $\theta_{sol}$ = Night	UCLA Cirrus IR			

EDR Parameter: Cloud-Top Temperature
Sensor Parameter: Radiometric Stability
SRD Metric: Long-Term Stability

Threshold Value: 1K Objective Value: 0.1K

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Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
1	Ice Cloud (10/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = 32$	UCLA Cirrus Solar			
2	Ice Cloud (10/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	UCLA Cirrus IR	Bias $\leq$ 1% for all $\tau$ and $D_e$	Biases « 0.1%	
3	Water Cloud (2/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = 32$	UCLA Cirrus Solar			
4	Water Cloud (4/1km), US Standard Veg., $\theta$ = 0, $\theta_0$ = Night	Window IR	Biases $\leq$ 0.1% For $r_e > 6$ and $\tau > 1.5$	Objective not met for all biases	Surface emissivity = 1.0. Simulations using lower emissivities allow higher Biases
5	Ice Cloud (15/1km), Tropical Veg., $\theta = 0$ , $\theta_0 = 25.44$	UCLA Cirrus Solar			
6	Ice Cloud (15/1km), Tropical Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	UCLA Cirrus IR			
7	Water Cloud (4/1km), US Standard Veg., $\theta$ = 40, $\theta_0$ = Night	Window IR	Biases $\leq$ 0.1% For $r_e > 6$ and $\tau > 1.5$	Objective not met for all biases	Surface emissivity = 1.0. Simulations using lower emissivities allow higher Biases
8	Water Cloud (7/1km), Tropical Veg., $\theta$ = 0, $\theta_0$ = Night	Window IR	Biases $\leq$ 0.1% For $r_e > 10$ and $\tau > 2$	Objective not met for all biases	
9	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 40, $\theta_0$ = 32	UCLA Cirrus Solar			

EDR Parameter: Cloud-Top Temperature
Sensor Parameter: Radiometric Stability
SRD Metric: Long-Term Stability

Threshold Value: 1K Objective Value: 0.1K

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Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
10	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 40, $\theta$ <sub>0</sub> = Night	UCLA Cirrus IR			
13	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 55, $\theta_0$ = 32	UCLA Cirrus Solar			
14	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 55, $\theta_0$ = Night	UCLA Cirrus IR			
15	Subarctic - TBD				
16	Subarctic- TBD				
17	Water Cloud (4/1km), US Standard Veg., $\theta$ = 55, $\theta_0$ = Night	Window IR	Biases $\leq$ 0.1% All $\tau$ and $r_e$	Biases $\leq$ 0.1% for $\tau > 1$ and $r_e > 3.5$	Surface emissivity = 1.0. Simulations using lower emissivities allow higher Biases
18	Water Cloud (7/1km), Tropical Veg., $\theta$ = 40, $\theta_0$ = Night	Window IR	Biases $\leq$ 0.1% For $\tau$ > 1.75 and $r_e$ >6	Biases ≤ 0.1% Do not meet objective	Approximations bases on TASC radiometric stability results
19	Water Cloud (7/1km), Tropical Veg., $\theta$ = 55, $\theta_0$ = Night	Window IR	Biases $\leq 0.1\%$ For $\tau > 1.75$ and $r_e > 3$	Biases ≤ 0.1% Do not meet objective	Approximations bases on TASC radiometric stability results
20	Ice Cloud (10/1km), US Standard Desert, $\theta$ = 55, $\theta_0$ = 32	UCLA Cirrus Solar			
21	Ice Cloud (10/1km), US Standard Desert., $\theta$ = 55, $\theta_{sol}$ = Night	UCLA Cirrus IR			

# A.3 CLOUD-TOP PRESSURE FLOWDOWN RESULTS

EDR Parameter: Cloud-Top Pressure Sensor Parameter: Radiometric Noise

**SRD Metric:** Precision

Threshold Values: sfc-3km: 50mb; 3-7km: 38mb; >7km: 25mb

Objective Values: 10, 7, 5mb

Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
1	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = 32$	Solar			
2	Ice Cloud (10/1km), US Standard	UCLA Cirrus	Noise Model ≤ 7	Noise Model < 5	Noise model ≤ 7;
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	All $D_{\rm e}$ and $\tau$	All $D_e$ ; $\tau > 1.75$	thresholds met in all cases
3	Water Cloud (2/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = 32$	Solar			
4	Water Cloud (4/1km), US Standard	Window	Noise Model ≤ 4	Noise Model < 2	Noise model ≤ 4;
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	All $r_e$ and $\tau$	$r_e > 5$ ; $\tau > 1.5$	thresholds met in all cases
5	Ice Cloud (15/1km), Tropical	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = 25.44$	Solar			
6	Ice Cloud (15/1km), Tropical	UCLA Cirrus	Noise Model ≤ 7	Noise Model ≤ 6	Noise model ≤ 7;
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	All $r_e$ and $\tau$	All $r_e$ and $\tau$	thresholds met in all cases
7	Water Cloud (4/1km), US Standard	Window	Noise Model ≤ 5	Noise Model < 2	Noise model ≤ 5;
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR	All $r_e$ and $\tau$	$r_e > 4; \ \tau > 1$	thresholds met in all cases
8	Water Cloud (7/1km), Tropical	Window	Noise Model ≤ 5	Noise Model < 2	Noise model ≤ 5;
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	All $r_e$ and $\tau$	$r_e > 6; \ \tau > 2$	thresholds met in all cases

EDR Parameter: Cloud-Top Pressure Sensor Parameter: Radiometric Noise

**SRD Metric:** Precision

Threshold Values: sfc-3km: 50mb; 3-7km: 38mb; >7km: 25mb

Objective Values: 10, 7, 5mb Page 2 of 2

Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
9	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 40$ , $\theta_0 = 32$	Solar			
10	Ice Cloud (10/1km), US Standard	UCLA Cirrus	Noise Model ≤ 7	Noise Model < 5	Noise model ≤ 7;
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR	All $D_{\rm e}$ and $\tau$	All $D_e$ ; $\tau > 0.5$	thresholds met in all cases
13	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 55$ , $\theta_0 = 32$	Solar			
14	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 55$ , $\theta_0 = \text{Night}$	IR			
15	Subarctic – TBD				
16	Subarctic- TBD				
17	Water Cloud (4/1km), US Standard	Window	Noise Model ≤ 5	Noise Model < 2	Noise model ≤ 5;
	Veg., $\theta = 55$ , $\theta_0 = \text{Night}$	IR	All $r_e$ and $\tau$	All $\tau$ and $r_e$	thresholds met in all cases
18	Water Cloud (7/1km), Tropical	Window	Noise Model ≤ 5	Noise Model < 2	Noise model ≤ 5;
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR	All $r_{\rm e}$ and $\tau$	All $\tau > 1.5$	thresholds met in all cases
				and $r_e > 5$	
19	Water Cloud (7/1km), Tropical	Window	Noise Model ≤ 5	Noise Model < 2	Noise model ≤ 5;
	Veg., $\theta = 55$ , $\theta_0 = \text{Night}$	IR	All $r_{\rm e}$ and $\tau$	All $\tau > 1$	thresholds met in all cases
				and $r_e > 3$	
20	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Desert, $\theta = 55$ , $\theta_0 = 32$	Solar			
21	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Desert, $\theta$ = 55, $\theta_{sol}$ = Night	IR			

**EDR Parameter:** Cloud-Top Pressure

**Sensor Parameter:** Absolute Radiometric Accuracy

**SRD Metric:** Measurement Accuracy

Threshold Values: sfc-3km: 100mb; 3-7km: 77mb; >7km: 50mb

Objective Values: 30, 22, 15mb

Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
1	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = 32$	Solar			
2	Ice Cloud (10/1km), US Standard	UCLA Cirrus	Bias $\leq$ 2% for all $\tau$	Bias ≤ 2% for all	Higher Biases were not generated
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	and D <sub>e</sub>	$ au$ and $D_{\rm e}$	for this study, but the results indicate that higher perturbations of
					radiance will meet threshold.
3	Water Cloud (2/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = 32$	Solar			
4	Water Cloud (4/1km), US Standard	Window	Biases ≤ 1.5%	Biases ≤ 0.1%	Based on Cloud-Top height results.
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	All $r_e$ and $\tau$	for $r_e > 3$ ; $\tau > 1$	
5	Ice Cloud (15/1km), Tropical	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = 25.44$	Solar			
6	Ice Cloud (15/1km), Tropical	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR			
7	Water Cloud (4/1km), US Standard	Window	Biases ≤ 1.5%	Biases ≤ 0.1%	Based on Cloud-Top height results.
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR	All $r_e$ and $\tau$	All $r_{e}$ and $\tau$	
8	Water Cloud (7/1km), Tropical	Window	Biases ≤ 5%	Biases ≤ 0.1%	Based on Cloud-Top height results.
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	All $r_{\rm e}$ and $\tau$	Most $r_{\rm e}$ and $\tau$	
9	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 40$ , $\theta_0 = 32$	Solar			

**EDR Parameter:** Cloud-Top Pressure

**Sensor Parameter:** Absolute Radiometric Accuracy

**SRD Metric:** Measurement Accuracy

Threshold Values: sfc-3km: 100mb; 3-7km: 77mb; >7km: 50mb

Objective Values: 30, 22, 15mb

Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
10	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR			
13	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 55$ , $\theta_0 = 32$	Solar			
14	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 55$ , $\theta_0 = \text{Night}$	IR			
15	Subarctic - TBD				
16	Subarctic- TBD				
17	Water Cloud (4/1km), US Standard	Window	Biases ≤ 5%	Biases ≤ 0.5%	Based on Cloud-Top height results.
	Veg., $\theta = 55$ , $\theta_0 = \text{Night}$	IR	All $r_e$ and $\tau$	Most $r_e$ and $\tau$	
18	Water Cloud (7/1km), Tropical	Window	Biases ≤ 2.5%	Biases ≤ 0.1%	Based on Cloud-Top height results.
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR	All $r_e$ and $\tau$	Most $r_e$ and $\tau$	
19	Water Cloud (7/1km), Tropical	Window	Biases ≤ 4.5%	Biases ≤ 0.5%	Based on Cloud-Top height results.
	Veg., $\theta$ = 55, $\theta_0$ = Night	IR	All $r_e$ and $\tau$	Most $r_e$ and $\tau$	
20	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Desert, $\theta = 55$ , $\theta_0 = 32$	Solar			
21	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Desert, $\theta = 55$ , $\theta_{sol} = Night$	IR			

EDR Parameter: Cloud-Top Pressure
Sensor Parameter: Radiometric Stability
SRD Metric: Long-Term Stability

Threshold Values: sfc-3km: 10mb; 3-7km: 7mb; >7km: 5mb

Objective Values: 3, 2, 1mb

Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
1	Ice Cloud (10/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = 32$	UCLA Cirrus Solar			
2	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 0, $\theta$ <sub>0</sub> = Night	UCLA Cirrus IR	Bias $\leq$ 0.5% for all $\tau$ and $D_{\rm e}$	Biases « 0.1%	Pressure is inconsistent with temperature-height profile. ICSR sent to IPO
3	Water Cloud (2/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = 32$	UCLA Cirrus Solar			
4	Water Cloud (4/1km), US Standard Veg., $\theta$ = 0, $\theta_0$ = Night	Window IR	Bias $\leq$ 0.1% $\tau \geq$ 2 for small $r_e$ , all $\tau$ for larger $r_e$	$\begin{aligned} &\text{Bias} \leq 0.1\% \\ &\tau \geq 11 \text{ , small } r_{e}, \\ &\tau > 4 \text{ for larger} \\ &r_{e} \end{aligned}$	Pressure is inconsistent with temperature-height profile. ICSR sent to IPO
5	Ice Cloud (15/1km), Tropical Veg., $\theta = 0$ , $\theta_0 = 25.44$	UCLA Cirrus Solar			
6	Ice Cloud (15/1km), Tropical Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	UCLA Cirrus IR			
7	Water Cloud (4/1km), US Standard Veg., $\theta$ = 40, $\theta_0$ = Night	Window IR	$\begin{aligned} &\text{Bias} \leq 0.1\% \\ &\tau \geq 2 \text{ for small } r_e, \\ &\text{all } \tau \text{ for larger } r_e \end{aligned}$	$\begin{aligned} &\text{Bias} \leq 0.1\% \\ &\tau \geq 8 \text{ , small } r_e, \\ &\tau > 3 \text{ for larger} \\ &r_e \end{aligned}$	Pressure is inconsistent with temperature-height profile. ICSR sent to IPO
8	Water Cloud (7/1km), Tropical Veg., $\theta$ = 0, $\theta_0$ = Night	Window IR	$\begin{aligned} &\text{Bias} \leq 0.1\% \\ &\tau \geq 3 \text{ for small } r_e, \\ &\text{all } \tau \text{ for larger } r_e \end{aligned}$	$\begin{aligned} &\text{Bias} \leq 0.1\% \\ &\tau \geq 8 \text{ , small } r_e, \\ &\tau > 3 \text{ for larger} \\ &r_e \end{aligned}$	Pressure is inconsistent with temperature-height profile. ICSR sent to IPO
9	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 40, $\theta$ <sub>0</sub> = 32	UCLA Cirrus Solar			

EDR Parameter: Cloud-Top Pressure Sensor Parameter: Radiometric Stability

SRD Metric: Long-Term Stability Threshold Values: sfc-3km: 10mb; 3-7km: 7mb; >7km: 5mb

Objective Values: 3, 2, 1mb

Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
10	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR			
13	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 55$ , $\theta_0 = 32$	Solar			
14	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta$ = 55, $\theta_0$ = Night	IR			
15	Subarctic - TBD				
16	Subarctic- TBD				
17	Water Cloud (4/1km), US Standard	Window	Bias ≤ 0.1%	Bias ≤ 0.1%	Pressure is
	Veg., $\theta$ = 55, $\theta_0$ = Night	IR	$\tau > 1$ , $r_e \ge 4$	$\tau > 4$ , most values of $r_e$	inconsistent with temperature-height profile. ICSR sent to IPO
18	Water Cloud (7/1km), Tropical	Window	Bias ≤ 0.1%	Bias ≤ 0.1%	Pressure is
	Veg., $\theta$ = 40, $\theta_0$ = Night	IR	for $\tau \leq 2$ , $r_e$ 9	$\tau > 8$ , most	inconsistent with
			for $\tau \ge 2$ , $9 \le r_e \le 30$	$ \begin{array}{llllllllllllllllllllllllllllllllllll$	temperature-height profile. ICSR sent to IPO
19	Water Cloud (7/1km), Tropical	Window	Bias ≤ 0.1%	Bias ≤ 0.1%	Pressure is
	Veg., $\theta$ = 55, $\theta_0$ = Night	IR	for $\tau > 1$ , $r_e \ge 4$	$\tau \geq 4$ , most	inconsistent with
			for $\tau \ge 2$ , $9 \le r_e \le$	values of $r_{\text{e}}$ .	temperature-height profile. ICSR sent to
			30	For $\tau$ < 4, larger $r_e$	IPO
20	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Desert, $\theta$ = 55, $\theta_0$ = 32	Solar			
21	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Desert., $\theta = 55$ , $\theta_{sol} = Night$	IR			

# A.4 CLOUD-TOP HEIGHT FLOWDOWN RESULTS

EDR Parameter: Cloud-Top Height Sensor Parameter: Radiometric Noise

SRD Metric: Precision
Threshold Value: 0.3 km
Objective Value: 0.15 km

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Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
1	Ice Cloud (10/1km), US Standard	UCLA Cirrus Solar			
	Veg., $\theta = 0$ , $\theta_0 = 32$				
2	Ice Cloud (10/1km), US Standard	UCLA Cirrus	Noise Model ≤ 7	Noise Model ≤ 5	Noise Model ≤ 7; Threshold
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	All $D_e$ and $ au$	All D <sub>e</sub> and $\tau > 1.5$	is met for all cases.
3	Water Cloud (2/1km), US Standard	UCLA Cirrus Solar			
	Veg., $\theta = 0$ , $\theta_0 = 32$				
4	Water Cloud (4/1km), US Standard	Window	Noise Model < 4	Noise Model < 2	Noise Model < 4; Threshold
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	For $r_e > 3$ ; All $\tau$	For $r_e > 3$ ; $\tau > 1$	is met for all cases.
5	Ice Cloud (15/1km), Tropical	UCLA Cirrus Solar			
	Veg., $\theta = 0$ , $\theta_0 = 25.44$				
6	Ice Cloud (15/1km), Tropical	UCLA Cirrus	Noise Model ≤ 7	Noise Model ≤ 7	Noise Model ≤ 7; Objective
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	All $D_{\rm e}$ and $\tau$	All $D_e$ and $\tau$	is met for all cases.
7	Water Cloud (4/1km), US Standard	Window	Noise Model < 4	Noise Model < 3	Noise Model < 4; Threshold
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR	All $r_{\rm e}$ and $\tau$	For $r_e > 3$ ; $\tau > 1$	is met for all cases.
8	Water Cloud (7/1km), Tropical	Window	Noise Model < 3	Noise Model < 2	Noise Model < 3; Threshold
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	For $r_e > 4$ and	For most $r_{\rm e}$ and $\tau$	is met for all cases.
			τ > 1		

EDR Parameter: Cloud-Top Height Sensor Parameter: Radiometric Noise

SRD Metric: Precision
Threshold Value: 0.3 km
Objective Value: 0.15km

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Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
9	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 40$ , $\theta_0 = 32$	Solar			
10	Ice Cloud (10/1km), US Standard	UCLA Cirrus	Noise Model ≤ 7	Noise Model ≤ 6	Noise Model ≤ 7;
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR	All $D_{\rm e}$ and $\tau$	$\begin{array}{c} \text{All } D_e \text{ and} \\ \tau > 0.25 \end{array}$	Threshold is met for all cases.
13	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 55$ , $\theta_0 = 32$	Solar			
14	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta$ = 55, $\theta_0$ = Night	IR			
15	Subarctic - TBD				
16	Subarctic- TBD				
17	Water Cloud (4/1km), US Standard	Window	Noise Model < 5	Noise Model < 4	Noise Model < 5;
	Veg., $\theta = 55$ , $\theta_0 = \text{Night}$	IR	All $r_{\rm e}$ and $\tau$	All $r_{e}$ and $\tau$	Threshold is met for all cases.
18	Water Cloud (7/1km), Tropical	Window	Noise Model < 4	Noise Model < 3	Noise Model < 4;
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR	For most $r_{\text{e}}$ and $\tau$	For most $r_e$ and $\tau$	Threshold is met for all cases.
19	Water Cloud (7/1km), Tropical	Window	Noise Model < 4	Noise Model < 3	Noise Model < 4;
	Veg., $\theta$ = 55, $\theta_0$ = Night	IR	For most $r_{\text{e}}$ and $\tau$	For most $r_{e}$ and $\tau$	Threshold is met for all cases.
20	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Desert, $\theta = 55$ , $\theta_0 = 32$	Solar			
21	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Desert, $\theta$ = 55, $\theta_{sol}$ = Night	IR			

**EDR Parameter:** Cloud-Top Height

**Sensor Parameter: Absolute Radiometric Accuracy** 

**SRD Metric:** Measurement Accuracy

Threshold Value: 1.0 km Objective Value: 0.3 km

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Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
1	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = 32$	Solar			
2	Ice Cloud (10/1km), US Standard	UCLA Cirrus	Bias ≤ 2% for all	Bias ≤ 2% for	Higher Biases were not
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	$ au$ and $D_e$	all $\tau$ and $D_e$	generated for this study, but the results indicate that higher
					perturbations of radiance will meet threshold.
3	Water Cloud (2/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = 32$	Solar			
4	Water Cloud (4/1km), US Standard	Window	Bias ≤ 5% for all	Bias $\leq$ 0.1% for	Biases ≤ 5%; Threshold is met
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	$ au$ and $r_e$	all $\tau$ and $r_e$ >2.5	for all cases.
5	Ice Cloud (15/1km), Tropical	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = 25.44$	Solar			
6	Ice Cloud (15/1km), Tropical	UCLA Cirrus			
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR			
7	Water Cloud (4/1km), US Standard	Window	Bias ≤ 5% for all	Bias $\leq 0.3\%$ for	Biases ≤ 5%; Threshold is met
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR	$\tau$ and $r_e$	all $\tau$ and $r_e$	for all cases.
8	Water Cloud (7/1km), Tropical	Window	Bias ≤ 5% for all	Bias $\leq$ 0.1% for	Biases ≤ 5%; Threshold is met
	Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	IR	$\tau$ and $r_e$	most $\tau$ and $r_e$	for all cases.
9	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 40$ , $\theta_0 = 32$	Solar			

**EDR Parameter:** Cloud-Top Height

Sensor Parameter: Absolute Radiometric Accuracy

**SRD Metric:** Measurement Accuracy

Threshold Value: 1.0 km Objective Value: 0.3 km

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Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
10	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta$ = 40, $\theta$ <sub>0</sub> = Night	IR			
13	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta = 55$ , $\theta_0 = 32$	Solar			
14	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Veg., $\theta$ = 55, $\theta_0$ = Night	IR			
15	Subarctic - TBD				
16	Subarctic- TBD				
17	Water Cloud (4/1km), US Standard	Window	Biases ≤ 5%	Biases ≤ 0.5%	Biases ≤ 5%; Threshold is met
	Veg., $\theta$ = 55, $\theta$ <sub>0</sub> = Night	IR	All $r_e$ and $\tau$	All $r_{\rm e}$ and $\tau$	for all cases.
18	Water Cloud (7/1km), Tropical	Window	Biases ≤ 5%	Biases ≤ 0.1%	Biases ≤ 5%; Threshold is met
	Veg., $\theta = 40$ , $\theta_0 = \text{Night}$	IR	All $r_e$ and $\tau$	Most $r_e$ and $\tau$	for all cases.
19	Water Cloud (7/1km), Tropical	Window	Biases ≤ 5%	Biases ≤ 0.5%	Biases ≤ 5%; Threshold is met
	Veg., $\theta$ = 55, $\theta_0$ = Night	IR	All $r_e$ and $\tau$	All $r_e$ and $\tau$	for all cases.
20	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Desert, $\theta = 55$ , $\theta_0 = 32$	Solar			
21	Ice Cloud (10/1km), US Standard	UCLA Cirrus			
	Desert, $\theta$ = 55, $\theta_{sol}$ = Night	IR			

EDR Parameter: Cloud-Top Height
Sensor Parameter: Radiometric Stability
SRD Metric: Long-Term Stability

Threshold Value: 0.2 km Objective Value: 0.1 km

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Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
1	Ice Cloud (10/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = 32$	UCLA Cirrus Solar			
2	Ice Cloud (10/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	UCLA Cirrus IR	Bias $\leq$ 1% for all $\tau$ and $D_e$	Biases ≤ 0.5%	Biases ≤ 1%; Threshold is met in all cases.
3	Water Cloud (2/1km), US Standard Veg., $\theta = 0$ , $\theta_0 = 32$	UCLA Cirrus Solar			
4	Water Cloud (4/1km), US Standard Veg., $\theta$ = 0, $\theta_0$ = Night	Window IR	$\begin{aligned} & \text{Bias} < 0.1\% & \text{ for} \\ & \tau > 1.5 & \text{ small } r_e \\ & \tau > 0 & \text{ large } r_e \end{aligned}$	$\begin{aligned} & \text{Bias} < 0.1\% \\ & \text{for } \tau > 2 \\ & \text{small } r_e \\ & \tau > 0 \text{ large } r_e \end{aligned}$	Biases ≤ 0.1%; Threshold is met for most cases.
5	Ice Cloud (15/1km), Tropical Veg., $\theta = 0$ , $\theta_0 = 25.44$	UCLA Cirrus Solar			
6	Ice Cloud (15/1km), Tropical Veg., $\theta = 0$ , $\theta_0 = \text{Night}$	UCLA Cirrus IR			
7	Water Cloud (4/1km), US Standard Veg., $\theta$ = 40, $\theta_0$ = Night	Window IR	$\begin{array}{c} \text{Bias} < 0.1\% \text{ for} \\ \tau > 1 \text{ small } r_e \\ \tau > 0 \text{ large } r_e \end{array}$	$\begin{aligned} & \text{Bias} < 0.1\% \\ & \text{for}  \tau > 2 \\ & \text{small}  r_e \\ & \tau > 0 \ \text{large}  r_e \end{aligned}$	Biases ≤ 0.1%; Threshold is met for most cases.
8	Water Cloud (7/1km), Tropical Veg., $\theta$ = 0, $\theta_0$ = Night	Window IR	$\begin{array}{l} \text{Bias} < 0.1\% \text{ for} \\ \tau > 2 \text{ small } r_e \\ \tau > 0 \text{ large } r_e \end{array}$	$\begin{array}{l} Bias < 0.1\% \\ for  \tau > 3 \\ small  r_e \\ \tau > 0  large  r_e \end{array}$	Biases $\leq$ 0.1%; Threshold is met for most cases above $r_e > 7$

EDR Parameter: Cloud-Top Height
Sensor Parameter: Radiometric Stability
SRD Metric: Long-Term Stability

Threshold Value: 0.2 km Objective Value: 0.1 km

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Scene	Scene Description	Algorithm(s)	Threshold Met?	Objective Met?	Comment
9	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 40, $\theta$ 0 = 32	UCLA Cirrus Solar			
10	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 40, $\theta$ <sub>0</sub> = Night	UCLA Cirrus IR			
13	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 55, $\theta_0$ = 32	UCLA Cirrus Solar			
14	Ice Cloud (10/1km), US Standard Veg., $\theta$ = 55, $\theta_0$ = Night	UCLA Cirrus IR			
15	Subarctic - TBD				
16	Subarctic- TBD				
17	Water Cloud (4/1km), US Standard Veg., $\theta$ = 55, $\theta_0$ = Night	Window IR	Biases $\leq 0.1\%$ For all $r_e$ and $\tau$	$\begin{aligned} \text{Biases} &\leq 0.1\% \\ \text{r}_{\text{e}} &> 3.5 \text{ and} \\ \tau &> 1 \end{aligned}$	Biases ≤ 0.1%; Threshold is met for all cases.
18	Water Cloud (7/1km), Tropical Veg., $\theta$ = 40, $\theta_0$ = Night	Window IR	$\begin{aligned} & \text{Biases} \leq 0.1\% \\ & \text{For } r_e > 5.5 \text{ and} \\ & \tau > 1.5 \end{aligned}$	$\begin{aligned} & \text{Biases} \leq 0.1\% \\ & \text{For } r_e > 9 \text{ and} \\ & \tau > 2 \end{aligned}$	Biases ≤ 0.1%; Threshold is met for most cases.
19	Water Cloud (7/1km), Tropical Veg., $\theta$ = 55, $\theta_0$ = Night	Window IR	$\begin{aligned} \text{Biases} &\leq 0.1\% \\ \text{For } r_e &> 3 \text{ and} \\ \tau &> 0.75 \end{aligned}$	$\begin{aligned} & \text{Biases} \leq 0.1\% \\ & \text{For } r_e > 4 \text{ and} \\ & \tau > 1 \end{aligned}$	Biases ≤ 0.1%; Threshold is met for most cases.
20	Ice Cloud (10/1km), US Standard Desert, $\theta$ = 55, $\theta_0$ = 32	UCLA Cirrus Solar			
21	Ice Cloud (10/1km), US Standard Desert., $\theta$ = 55, $\theta_{sol}$ = Night	UCLA Cirrus IR			



